

2009 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M05000006385

FILED
Mar 27, 2009
Secretary of State

Entity Name: PERSHING ADVISOR SOLUTIONS LLC

Current Principal Place of Business:

ONE PERSHING PLAZA
10TH FLOOR
JERSEY CITY, NJ 07399

New Principal Place of Business:

ONE PERSHING PLAZA
14TH FLOOR
JERSEY CITY, NJ 07399

Current Mailing Address:

ONE PERSHING PLAZA
10TH FLOOR
JERSEY CITY, NJ 07399

New Mailing Address:

ONE PERSHING PLAZA
14TH FLOOR
JERSEY CITY, NJ 07399

FEI Number: 83-0437353

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR () Delete
Name: BRUECKNER, RICHARD F
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MGRM () Delete
Name: NOVAK, KAREN N
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MGR () Delete
Name: WALLESTAD, DENNIS
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MGRM () Delete
Name: IACHELLO, JOHN
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: () Delete
Name:
Address:
City-St-Zip:

Title: () Delete
Name:
Address:
City-St-Zip:

ADDITIONS/CHANGES:

Title: MEMB (X) Change () Addition
Name: BRUECKNER, RICHARD F
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: MGRM (X) Change () Addition
Name: WALLESTAD, DENNIS
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MGRM (X) Change () Addition
Name: TIBERGIEN, MARK C
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MEMB () Change (X) Addition
Name: SHEA, BRIAN T
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MEMB () Change (X) Addition
Name: DECICCO, RONALD
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: KAREN N. NOVAK

MGRM

03/27/2009

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date