

2007 LIMITED LIABILITY COMPANY REINSTATEMENT

DOCUMENT # M05000006385

1. Entity Name
PERSHING ADVISOR SOLUTIONS LLC



FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS

07 JAN 24 AM 8:17

Principal Place of Business
ONE PERSHING PLAZA
JERSEY CITY, NJ 07399

Mailing Address
ONE PERSHING PLAZA
JERSEY CITY, NJ 07399

2. Principal Place of Business - No P.O. Box #

10TH FLOOR (ADD)

Suite, Apt. #, etc

3. Mailing Address

10TH FLOOR (ADD)

Suite, Apt. #, etc

City & State

City & State

Zip

Country

Zip

Country

01122007

REIN-LLC

CR2E101 (1/07)

4. FEI Number

830437353

Applied For

Not Applicable

5. Certificate of Status Desired



\$5.00 Additional
Fee Required

6. Name and Address of Current Registered Agent

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$100.00

In accordance with s. 607.193(2)(b), F.S., the limited liability company did not receive the prior notice.

Make check payable to
Florida Department of State

9. MANAGING MEMBERS/MANAGERS

TITLE MGR
NAME BRUECKNER, RICHARD F
STREET ADDRESS ONE PERSHING PLAZA
CITY-ST-ZIP JERSEY CITY, NJ 07399 ☐ Delete

TITLE MGR
NAME SHEA, BRIAN T
STREET ADDRESS ONE PERSHING PLAZA
CITY-ST-ZIP JERSEY CITY, NJ 07399 ☐ Delete

TITLE MGR
NAME WALLESTAD, DENNIS
STREET ADDRESS ONE PERSHING PLAZA
CITY-ST-ZIP JERSEY CITY, NJ 07399 ☐ Delete

TITLE MGR
NAME ISCHELLO, JOHN
STREET ADDRESS ONE PERSHING PLAZA
CITY-ST-ZIP JERSEY CITY, NJ 07399 ☐ Delete

TITLE ~~MGR~~
NAME ~~VELLI, JOSEPH~~
STREET ADDRESS ~~ONE PERSHING PLAZA~~
CITY-ST-ZIP ~~JERSEY CITY, NJ 07399~~ ☒ Delete

TITLE ~~MGR~~
NAME ~~GODRIDGE, LESLIE~~
STREET ADDRESS ~~ONE PERSHING PLAZA~~
CITY-ST-ZIP ~~JERSEY CITY, NJ 07399~~ ☒ Delete

10. ADDITIONS/CHANGES

TITLE NAME
STREET ADDRESS BRUECKNER (CORRECTION) ☒ Change ☐ Addition
CITY-ST-ZIP

TITLE NAME
STREET ADDRESS MGRM ☒ Change ☐ Addition
CITY-ST-ZIP

TITLE NAME
STREET ADDRESS ☐ Change ☐ Addition
CITY-ST-ZIP

TITLE NAME
STREET ADDRESS MGRM ☒ Change ☐ Addition
CITY-ST-ZIP IACHELLO (CORRECTION)

TITLE NAME
STREET ADDRESS ☐ Change ☐ Addition
CITY-ST-ZIP

TITLE NAME
STREET ADDRESS ☐ Change ☐ Addition
CITY-ST-ZIP

11. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

JOHN J. IACHELLO

Date

Daytime Phone #

1/12/07 201-413-2130



A BNY Securities Group Co.
Solutions from The Bank of New York

One Pershing Plaza
Jersey City, New Jersey 07399

Richard Izzo
Vice President
Registration Manager

January 22, 2007

State of Florida
Division of Corporations
2661 Executive Center Circle
Tallahassee, Florida 32301

RE: 2007 Limited Liability Company Reinstatement-Pershing Advisor Solutions LLC

Dear Sir or Madam:

In accordance with your instructions, enclosed please find the completed 2007 Limited Liability Company Annual Report filing on behalf of Pershing Advisor Solutions LLC. You will note that several changes have been indicated on the Report as to the Managing Members/Managers.

We have included our check #000228442 in the amount of \$105.00 for payment of the reinstatement filing fee (\$100) and to request that a Certificate of Status (\$5) be sent upon completion.

As discussed via phone conversation with a representative of your department, the Firm never received notification of renewal. We apologize for not filing the form at the appropriate time. We do appreciate your understanding of the situation.

Kindly acknowledge receipt of this filing by signing and returning the attached copy of this letter. If any questions exist, please do not hesitate to contact me directly. Thank you for your attention to this matter.

Very truly yours,

A handwritten signature in black ink that reads "Richard Izzo".

Richard Izzo

Encs.