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(Business Entity Name)

(Document Number)

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W04-34531



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ALLIANCE FLORIDA

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W04

## TRANSMITTAL LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** RK Equity Advisor, LLC  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Adam J. Rosen  
(Name of Person)  
RK Equity Advisors, LLC  
(Firm/Company)  
10663 Lake Wynde Court  
(Address)  
Boynton Beach, FL 33437  
(City/State and Zip code)

04 OCT 27 PM 4 28  
TALLAHASSEE, FLORIDA

For further information concerning this matter, please call:

Adam J. Rosen at ( 646 ) 536-3865  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**

Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

\$130.00

Filing Fee  
Designation of Registered Agent  
Certificate of Status



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

September 17, 2004

ADAM J ROSEN  
10863 LAKE WYNDS COURT  
BOYNTON BEACH, FL 33437

SUBJECT: RK EQUITY ADVISORS, LLC  
Ref. Number: W04000034531

We have received your document for RK EQUITY ADVISORS, LLC and your check(s) totaling \$130.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

We are enclosing the proper form(s) with instructions for your convenience.

Section 608.407, Florida Statutes, requires the document(s) to be signed by a member or by the authorized representative of a member.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6097.

Marsha Thomas  
Document Specialist

Letter Number: 504A00055112

FILED  
OCT 27 PM 2:37  
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO  
TRANSACT BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. RK Equity Advisors, LLC  
(Name of Foreign Limited Liability Company)
2. New York  
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 43-1989131  
(FEI number, if applicable)
4. January 1, 2003  
(Date of Organization)
5. perpetual  
(Duration: Year limited liability company will cease to exist or "perpetual")
6. awaiting registration paperwork upon qualification  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 608.501 & 608.502 F.S. to determine penalty liability)
7. 111-15 75th Avenue, #6H  
Forest Hills, NY 11375  
(Street Address of Principal Office)
8. If limited liability company is a manager-managed company, check here ☐
9. The name and usual business addresses of the managing members or managers are as follows:  
Howard Klein - 111-15 75th Avenue, Suite Z, Forest Hills, NY 11375  
Adam Rosen - 10863 Lake Wyndy Court, Boynton Beach, FL 33437
10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.) (already submitted & in your file)
11. Nature of business or purposes to be conducted or promoted in Florida: investor advisory  
services

Adam Rosen

Signature of a member or an authorized representative of a member.  
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Adam J. Rosen

Typed or printed name of signee

FILED  
OCT 27 PM 2:37  
CLERK OF CIRCUIT COURT  
IN AND FOR THE COUNTY OF DADE  
FLORIDA

**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

RK Equity Advisors, LLC

2. The name and the Florida street address of the registered agent and office are:

Adam J. Rosen

(Name)

10813 Lake Wynds Court

Florida Street Address (P.O. Box **NOT** ACCEPTABLE)

Boynton Beach, FL 33437

City/State/Zip

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.*

Adam J. Rosen

(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

> already submitted +  
in your file

**State of New York** | **ss:**  
**Department of State**

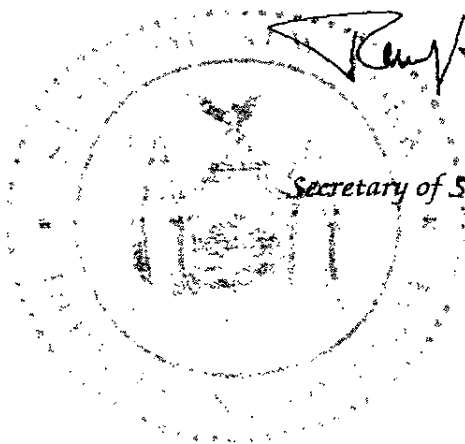
I hereby certify, that RK EQUITY ADVISORS, LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 01/10/2003, and that the Limited Liability Company is subsisting so far as shown by the records of the Department.

\*\*\*

*Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 26th day of August  
two thousand and four.*



*Secretary of State*



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