

M04000003130

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

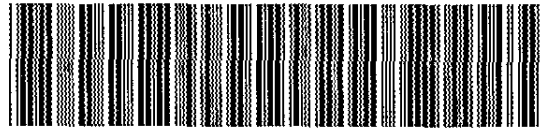
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



300038748793

08/06/04--01017--021 \*\*160.00

RECEIVED  
04 AUG -6 AM 11:47  
DIVISION OF REGISTRATION

FILED  
04 AUG -6 AM 11:54  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA  
*WJ*

**TRANSMITTAL LETTER**

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** SALZBURG SECURITIES, LLC  
(Name of Limited Liability Company)

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

LEON S. DILPARE  
(Name of Person)

SALZBURG SECURITIES LLC  
(Firm/Company)

20109 GREVILLEA AVE  
(Address)

TORRANCE, CA 90503  
(City/State and Zip Code)

FILED STATE  
SECRETARY OF FLORIDA  
TALLAHASSEE, FLORIDA  
04 AUG - 6 AM 11:54

For further information concerning this matter, please call:

LEON S. DILPARE at ( 310 ) 418-7268  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**

Registration Section  
Division of Corporations  
409 E. Gaines Street  
Tallahassee, Florida 32399

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee    ☐ \$130.00 Filing Fee & Certificate of Status    ☐ \$155.00 Filing Fee & Certified Copy    ☒ \$160.00 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO  
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. SALZBURG SECURITIES, LLC  
(Name of Foreign Limited Liability Company)
2. CALIFORNIA  
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 22-3888627  
(FEI number, if applicable)
4. 12/20/02  
(Date of Organization)
5. PERPETUAL  
(Duration: Year limited liability company will cease to exist or "perpetual")
6. UPON Qualification  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 608.501 & 608.502 F.S. to determine penalty liability)
7. HOME OFFICE: 20109 GREVILLEA AVE, TORRANCE, CA 90503  
IN FLORIDA: 6458 INTERNATIONAL DR., ORLANDO, FL 32819  
(Street Address of Principal Office)
8. If limited liability company is a manager-managed company, check here ☒ SINGLE MEMBER
9. The name and usual business addresses of the managing members or managers are as follows:  
LEON S. DILPARE, CEO OF PROFESSIONAL  
INVESTMENT SERVICES, LLC (THE SINGLE MEMBER)  
20109 GREVILLEA AVE, TORRANCE, CA 90503
10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, translation of the certificate under oath of the translator must be submitted.)
11. Nature of business or purposes to be conducted or promoted in Florida: SECURITIES BROKERAGE

Leon S. Dilpare  
Signature of a member or an authorized representative of a member.  
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

LEON S. DILPARE 8/4/04  
Typed or printed name of signee

FILED  
STATE  
SECRETARY OF  
TALLAHASSEE, FLORIDA  
AUG 11 2004  
AM 11:54

**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

SALZBURG SECURITIES, LLC

2. The name and the Florida street address of the registered agent and office are:

JOAQUIN R. BALAGUER  
(Name)

6458 INTERNATIONAL DR.  
Florida Street Address (P.O. Box **NOT** ACCEPTABLE)

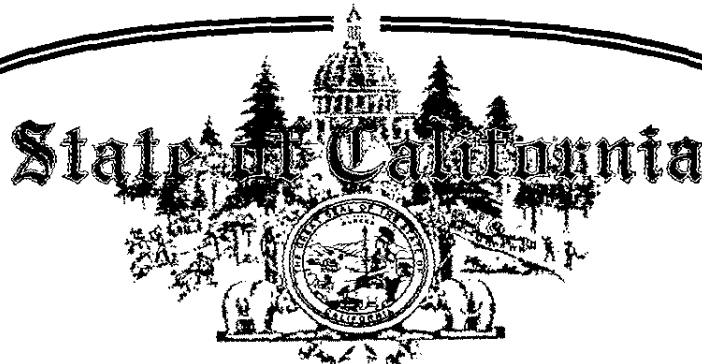
ORLANDO , FL 32819  
City/State/Zip

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.*

J. Balaguer  
(Signature)

FILED  
STATE  
SECRETARY OF  
TALLAHASSEE, FLORIDA  
AUG -6 AM 11:54

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)



## SECRETARY OF STATE

### CERTIFICATE OF GOOD STANDING CALIFORNIA LIMITED LIABILITY COMPANY

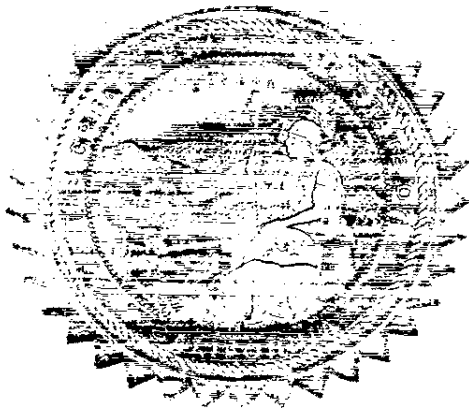
I, KEVIN SHELLEY, Secretary of State of the State of California, hereby certify:

That on the **20th day of December, 2002**, **SALZBURG SECURITIES, LLC**, became recognized under the laws of the State of California by filing its Articles of Organization in this office; and

That according to the records of this office, the said limited liability company is authorized to exercise all its powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition of this limited liability company.

IN WITNESS WHEREOF, I execute this  
certificate and affix the Great Seal  
of the State of California this day  
of August 4, 2004.



*Kevin Shelley*  
KEVIN SHELLEY  
Secretary of State

FILED  
STATE  
SECRETARY OF  
TALLAHASSEE, FLORIDA  
04 AUG - 04 AM 11:54

SR