

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M04000001401

FILED
Apr 30, 2012
Secretary of State

Entity Name: RAFFERTY CAPITAL MARKETS, LLC

Current Principal Place of Business:

59 HILTON AVENUE
GARDEN CITY, NY 11530

New Principal Place of Business:

Current Mailing Address:

33 WHITEHALL STREET
10TH FLOOR
NEW YORK, NY 10004

New Mailing Address:

1301 AVENUE OF THE AMERICA'S
35TH FL
NEW YORK, NY 10019

FEI Number: 13-4143904

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

LUBIN, ROBERT
ATRIUM FINANCIAL CENTER
1515 N. FEDERAL HIGHWAY, SUITE 219
BOCA RATON, FL 33432 US

Name and Address of New Registered Agent:

RAFFERTY HOLDINGS, LLC
REYNOLDS PLAZA
1061 EAST INDIANTOWN ROAD, STE 0412
JUPITER, FL 33477 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: MAGGIE REILLY

04/30/2012

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR
Name: MULROONEY, THOMAS
Address: 59 HILTON AVENUE
City-St-Zip: GARDEN CITY, NY 11530

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: THOMAS MULROONEY

MGR

04/30/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date