2011 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M0400000575

Entity Name: MILLER TABAK ROBERTS SECURITIES, LLC

FILED Mar 15, 2011 Secretary of State

Current Principal Place of Business:	New Principal Place of Business

331 MADISON AVENUE NEW YORK, NY 10017

Current Mailing Address: New Mailing Address:

331 MADISON AVENUE NEW YORK, NY 10017

FEI Number: 13-4036836 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired (X)

Name and Address of Current Registered Agent: Name and Address of New Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Γitle: COO

Name: MILLER, JOEL E
Address: 331 MADISON AVENUE
City-St-Zip: NEW YORK, NY 10017

Title: CEC

Name: SCHOENTHAL, ROBERT Address: 331 MADISON AVENUE City-St-Zip: NEW YORK, NY 10017

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statues.

SIGNATURE: JOEL E MILLER COO 03/15/2011