

MD4000000575

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



100026465021

FILED
JAN 27 PM 4:14
TALLAHASSEE, FLORIDA

FILED
2004 FEB 11 PM 1:15
TALLAHASSEE, FLORIDA

J. BRYAN FEB 12 2004



CORPORATION SERVICE COMPANY™

ACCOUNT NO. : 072100000032

REFERENCE : 411652 5158343

AUTHORIZATION :

COST LIMIT : \$ 125.00

ORDER DATE : January 27, 2004

ORDER TIME : 2:57 PM

ORDER NO. : 411652-010

CUSTOMER NO: 5158343

CUSTOMER: Matthew J. Dundon
Miller Tabak Roberts
12th Floor
331 Madison Ave
New York, NY 10017

FILED
2004 FEB 11 PM 1:15
TALLAHASSEE, FLORIDA

FOREIGN FILINGS

NAME: MILLER TABAK ROBERTS
SECURITIES, LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Troy Todd -- EXT# 2940

EXAMINER: _____



CORPORATION SERVICE COMPANY™

ACCOUNT NO. : 072100000032

REFERENCE : 411652 5158343

AUTHORIZATION : *Patricia Pigute*

COST LIMIT : \$ 4200.00

FILED
2004 FEB 11 PM 1:15
CORPORATIONS
TALLAHASSEE, FLORIDA

ORDER DATE : January 27, 2004

ORDER TIME : 9:49 AM

ORDER NO. : 411652-010

CUSTOMER NO: 5158343

CUSTOMER: Matthew J. Dundon
Miller Tabak Roberts
12th Floor
331 Madison Ave
New York, NY 10017

RECEIVED
04 FEB 11 AM 11:53
DEPT. OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

FOREIGN FILINGS

NAME: MILLER TABAK ROBERTS
SECURITIES, LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Troy Todd -- EXT# 2940

EXAMINER: _____



FLORIDA DEPARTMENT OF STATE
Glenda E. Hood
Secretary of State

February 4, 2004

TROY TODD
CSC
TALLAHASSEE, FL

SUBJECT: MILLER TABAK ROBERTS SECURITIES, LLC
Ref. Number: W04000004837

FILED
2004 FEB 11 PM 1:15
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

We have received your document for MILLER TABAK ROBERTS SECURITIES, LLC and the authorization to debit your account in the amount of \$125.00. However, the document has not been filed and is being retained for the following:

The application states that this company began transacting business in Florida on August 1, 2000.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$4,200.00.

If you have any questions concerning the filing of your document, please call (850) 245-6914.

Buck Kohr
Document Specialist

Letter Number: 104A00007495

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN
LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Miller Tabak Roberts Securities, LLC
(Name of foreign limited liability company)
2. New York
(Jurisdiction under the law of which foreign limited liability
company is organized)
3. 13-4036836
(FEI number, if applicable)
4. October 28, 1998
(Date of Organization)
5. Perpetual
(Duration: Year limited liability company will cease to
exist or "perpetual")
6. August 1, 2000
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 331 Madison Avenue
New York, NY 10017
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☒

9. The name and usual business addresses of the managing members or managers are as follows:

Joel Miller, 331 Madison Avenue, New York, NY 10017

Robert Scheenthal, 331 Madison Avenue, New York, NY 10017

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in
the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a
translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: fixed-income securities
broker-dealer services and other lawful business.

Joel E. Miller
Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes
an affirmation under the penalties of perjury that the facts stated herein are true.)

Joel E. Miller

Typed or printed name of signee

FILED
2004 FEB 11 PM 1:15
CLERK OF THE CIRCUIT COURT
TALLAHASSEE, FLORIDA

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Miller Tabak Roberts Securities, LLC

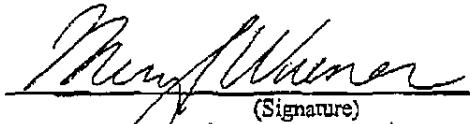
2. The name and the Florida street address of the registered agent and office are:

Corporation Service Company
(Name)

1201 Hay Street
Florida street address (P.O. Box NOT ACCEPTABLE)

Tallahassee FL 32301
(City/State/Zip)

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.


(Signature)
Merry Wiener, Asst VP

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

FILLED
2004 FEB 11 PM 1:15
CORPORATIONS
TALLAHASSEE, FLORIDA

State of New York } ss: Department of State

I hereby certify, that MILLER ANDREW ROBERTS, LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 10/28/1998, and that the Limited Liability Company is subsisting so far as shown by the records of the Department.

A Certificate of Amendment MILLER ANDREW ROBERTS, LLC, changing its name to MILLER TABAK ROBERTS SECURITIES, LLC, was filed 06/14/1999.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 26th day of January
two thousand and four.*

Secretary of State

200401270170 * 45

FILED
2004 FEB 11 PM 1:15
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA