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TALLAHASSEE, FLORIDA

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SECRETARY OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

37K



CORPORATION SERVICE COMPANY™

ACCOUNT NO. : 072100000032
REFERENCE : 420108
AUTHORIZATION :
COST LIMIT : \$ 125.00

04 FEB -5 PM 4:28
FILED
TALLAHASSEE, FLORIDA

ORDER DATE : February 2, 2004

ORDER TIME : 12:50 PM

ORDER NO. : 420108-335

CUSTOMER NO: 4304756

CUSTOMER: Mr. Robert Porcelli
Bingham Mccutchen Llp
150 Federal Street

Boston, MA 02110

FOREIGN FILINGS

NAME: PRUDENTIAL EQUITY GROUP,
LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: DEBBIE SKIPPER

EXAMINER: _____

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN
LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Prudential Equity Group, LLC
(Name of foreign limited liability company)
2. Delaware
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 22-234736
(FEI number, if applicable)
4. 03/19/1981
(Date of Organization)
5. Perpetual
(Duration: Year limited liability company will cease to exist or "perpetual")
6. 02/03/2004
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 1 New York Plaza, 15th Floor, New York, NY 10292-2015

(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☒
9. The name and usual business addresses of the managing members or managers are as follows:

SEE ATTACHMENT

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida:

broker-dealer of securities

Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

David Buchalter

Assistant Secretary
Typed or printed name of signee

Prudential Equity Group, LLC

	Business Address
<u>Board of Managers</u>	
STRANGFELD, JR., JOHN R. (Chairman)	751 Broad Street, 24 th Floor Newark, NJ 07102
LAWSON, RODGER A. (Vice Chairman)	751 Broad Street, 24 th Floor Newark, NJ 07102
RICE, JUDY A.	Gateway Center 3/100 Mulberry Street, 14 th Floor Newark, NJ 07102
SHEA, MICHAEL J.	One New York Plaza, 15 th Floor New York, NY 10292
STEINBERG, HOWARD	One New York Plaza, 16 th Floor New York, NY 10292
<u>Chief Executive Officer</u>	
STRANGFELD, JR., JOHN R.	751 Broad Street, 24 th Floor Newark, NJ 07102
<u>Secretary</u>	
MORRIS, BRIAN	One New York Plaza, 16 th Floor New York, NY 10292
<u>Treasurer</u>	
CHAPLIN, CEDWARD	751 Broad St., 22 nd Floor Newark, NJ 07102
<u>Assistant Secretary</u>	
BUCHALTER, DAVID	One New York Plaza, 15 th Floor New York, NY 10292

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Prudential Equity Group, LLC

2. The name and the Florida street address of the registered agent and office are:

C T Corporation System

(Name)

c/o C T Corporation System, 1200 South Pine Island Road

Florida street address (P.O. Box **NOT** ACCEPTABLE)

Plantation

FL

33324

(City/State/Zip)

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.

By:

C T Corporation System

(Signature)

**SALVINA AMENTA-GRAY
SPECIAL ASSISTANT SECRETARY**

\$ 100.00

Filing Fee for Application

\$ 25.00

Designation of Registered Agent

\$ 30.00

Certified Copy (optional)

\$ 5.00

Certificate of Status (optional)

Delaware

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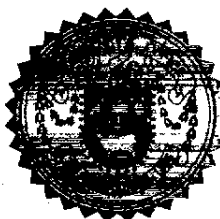
The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PRUDENTIAL EQUITY GROUP, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SECOND DAY OF FEBRUARY, A.D. 2004.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "PRUDENTIAL EQUITY GROUP, LLC" WAS FORMED ON THE NINETEENTH DAY OF MARCH, A.D. 1981.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

0910487 8300

AUTHENTICATION: 2905839

040071428

DATE: 02-02-04