

# m03000002945

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

(Document Number)

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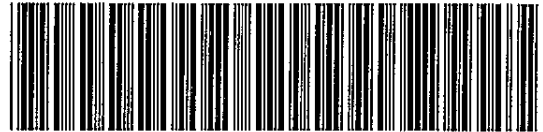
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09/04/03--01090--002 \*\*70.00

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09/04/03--01090--002 \*\*55.00

wrong form

① Person listed as RA +  
Person signing must be  
the same



## TRANSMITTAL LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: Chatsworth Securities LLC  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Dawn Pedrosa  
(Name of Person)  
Chatsworth Securities LLC  
(Firm/Company)  
95 East Putnam Avenue  
(Address)  
Greenwich, CT 06830  
(City/State and Zip code)

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For further information concerning this matter, please call:

Dawn Pedrosa at (203) 629-2612  
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

MAILING ADDRESS:  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy





FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

August 25, 2003

DAWN PEDROSA  
CHATSWORTH SECURITIES LLC  
95 EAST PUTNAM AVENUE  
GREENWICH, CT 06830

SUBJECT: CHATSWORTH SECURITIES LLC  
Ref. Number: W03000024154

We have received your document for CHATSWORTH SECURITIES LLC and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

You have completed the wrong form. The form you completed is for a corporation not a limited liability company.

We are enclosing the proper form(s) with instructions for your convenience.

The person designated as registered agent in the document and the person signing as registered agent must be the same.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6913.

Diane Cushing  
Document Specialist

Letter Number: 503A00047871

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**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO  
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Chatsworth Securities LLC  
(Name of foreign limited liability company)

2. New York State  
(Jurisdiction under the law of which foreign limited liability company is organized)

3. \_\_\_\_\_  
(FEI number, if applicable)

4. May 1996  
(Date of Organization)

5. Perpetual  
(Duration: Year limited liability company will cease to exist or "perpetual")

6. Upon Qualification  
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))

7. 95 East Putnam Avenue, Greenwich, CT 06830

(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☒

9. The name and usual business addresses of the managing members or managers are as follows:

Ralph Difore, 95 East Putnam Avenue, Greenwich, CT 06830

Idel Matcovsky, 95 East Putnam Avenue, Greenwich, CT 06830

Daniel Mackean, 95 East Putnam Avenue, Greenwich, CT 06830

Syngon Hare, 95 East Putnam Avenue, Greenwich, CT 06830

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: To Conduct

the Business of an NASD Registered Securities Dealer

Daniel C Mackean  
Signature of a member or an authorized representative of a member.  
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Daniel C Mackean  
Typed or printed name of signee

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**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Chatsworth Securities LLC

2. The name and the Florida street address of the registered agent and office are:

Daniel C. Maclean  
(Name)

225 Water Street Suite 110  
Florida street address (P.O. Box **NOT** ACCEPTABLE)

Jacksonville

FL

32202

City/State/Zip

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*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S..*

Daniel C. Maclean  
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)



**State of New York** } ss:  
**Department of State**

I hereby certify, that CHATSWORTH SECURITIES L.L.C. a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 03/20/1996, and that the Limited Liability Company is subsisting so far as shown by the records of the Department.

The Biennial Statement is past due.

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*Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 29th day of July  
two thousand and three.*



Secretary of State

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