

2007 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M03000001701

FILED
May 10, 2007
Secretary of State

Entity Name: WACHOVIA CAPITAL MARKETS, LLC

Current Principal Place of Business:

301 S. COLLEGE STREET
CHARLOTTE, NC 28288

New Principal Place of Business:

Current Mailing Address:

C/O CORPORATION SERVICE COMPANY
2711 CENTERVILLE ROAD
WILMINGTON, DE 19808

New Mailing Address:

FEI Number: 56-2326000 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()
In accordance with s. 607.193(2)(b), F.S., the limited liability company did not receive the prior notice.

Name and Address of Current Registered Agent:

Name and Address of New Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR () Delete
Name: ARLEDGE, CURTIS Y
Address: 301 S. COLLEGE STREET
City-St-Zip: CHARLOTTE, NC 28288

Title: MGR () Delete
Name: ILARIO, SCOTT L
Address: 301 S. COLLEGE STREET
City-St-Zip: CHARLOTTE, NC 28288

Title: MGR () Delete
Name: MISERA, MICHAEL
Address: 7 ST. PAUL STREET
City-St-Zip: BALTIMORE, MD 21202

Title: MGR () Delete
Name: ROCHE, KEVIN J
Address: 301 S. COLLEGE STREET
City-St-Zip: CHARLOTTE, NC 28288

Title: MGR () Delete
Name: STEINBERG, TODD N
Address: 12 EAST 49TH STREET
City-St-Zip: NEW YORK, NY 10017

Title: MGR () Delete
Name: HARRIS, DONNA M
Address: 301 S. COLLEGE STREET
City-St-Zip: CHARLOTTE, NC 28288

ADDITIONS/CHANGES:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: MGR (X) Change () Addition
Name: STEVENS, QUINTEN
Address: 301 S. COLLEGE ST
City-St-Zip: CHARLOTTE, NC 28288

Title: MGR (X) Change () Addition
Name: WILLIAMS, JR., BENJAMIN F
Address: 301 S. COLLEGE STREET
City-St-Zip: CHARLOTTE, NC 28288

Title: MGR (X) Change () Addition
Name: ZANIN, RYAN
Address: 301 S. COLLEGE ST
City-St-Zip: CHARLOTTE, NC 28288

Title: MGRM (X) Change () Addition
Name: MULLIS, CAROL R
Address: 301 S. COLLEGE STREET
City-St-Zip: CHARLOTTE, NC 28288

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: CAROL R MULLIS

MGRM

05/10/2007

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date