

**LIMITED LIABILITY COMPANY
UNIFORM BUSINESS REPORT (UBR)**

DOCUMENT # M03000001701	
1. Entity Name Wachovia Capital Markets, LLC	

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business 301 S. College Street		3. Mailing Address c/o Corporation Service Company		DO NOT WRITE IN THIS SPACE
Suite, Apt. #, etc.		Suite, Apt. #, etc. 2711 Centerville Road		
City & State Charlotte, NC		City & State Wilmington, DE		4. FEI Number 56-2326000
Zip 28288	Country US	Zip 19808	Country US	5. Certificate of Status Desired ☐ \$5.00 Additional Fee Required

M03000001701 DO NOT WRITE IN THIS SPACE	7. Name and Address of Current Registered Agent	
	Name Corporation Service Company	
	Street Address (P.O. Box Number is Not Acceptable) 1201 Days Street, Suite 105	
	City Tallahassee	FL Zip Code 32301

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____	FEE IS \$50.00 Make Check Payable to Florida Department of State DUE BY MAY 1	DATE _____
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9. MANAGING MEMBERS/MANAGERS			
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Manager Curtis Y. Arledge 301 S. College Street Charlotte, NC 28288	TITLE NAME STREET ADDRESS CITY-ST-ZIP	DO NOT WRITE IN THIS SPACE
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Manager Scott L. Ilario 301 S. College Street Charlotte, NC 28288	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Manager Michael Misera 7 St. Paul Street Baltimore, MD 21202	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Manager Kevin J. Roche 301 S. College St., Char, NC 28288	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Manager Todd N. Steinberg 12 E. 49th Street New York, NY 10017	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Benjamin J. Williams, Jr. 301 S. College Street Charlotte, NC 28288	TITLE NAME STREET ADDRESS CITY-ST-ZIP	

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: 	Kevin J. Roche	Date 6-21-04	Daytime Phone # _____
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FILED
 04 JUN 25 AM 9:05
 SECRETARY OF STATE
 TALLAHASSEE, FLORIDA

CR2E083B (12/02)



M030000001701

ACCOUNT NO. : 072100000032

REFERENCE : 777264 167868A

AUTHORIZATION :

COST LIMIT : \$ 50.00

Patricia Pigute

ORDER DATE : June 25, 2004

ORDER TIME : 12:18 PM

ORDER NO. : 777264-005

CUSTOMER NO: 167868A

CUSTOMER: Ms. Teresa P. Loftin
Wachovia Corporation
One Wachovia Center, Nc0630
301 South College Street-30th
Charlotte, NC 28288-0630

BR

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

ANNUAL REPORT FILING

NAME: WACHOVIA CAPITAL MARKETS, LLC

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Troy Todd - Ext. 2940

EXAMINER'S INITIALS:

RECEIVED
04 JUN 25 PM 2:39
DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA