

2007 LIMITED LIABILITY COMPANY ANNUAL REPORT

FILED
May 04, 2007 8:00 am
Secretary of State

05-04-2007 90317 005 ****55.00

DOCUMENT # M03000000210

1. Entity Name
PERSHING LLC



Principal Place of Business
**1 PERSHING PLAZA
JERSEY CITY, NJ 07399**

Mailing Address
**1 PERSHING PLAZA
ATTN: RICHARD IZZO
JERSEY CITY, NJ 07399**

2. Principal Place of Business - No P.O. Box #

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

04202007

Chg-LLC

CR2E083 (12/06)

4. FEI Number

13-2741729

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$5.00 Additional
Fee Required

6. Name and Address of Current Registered Agent

**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**Filing Fee is \$50.00
Due by May 1, 2007**

**Make check payable to
Florida Department of State**

9. MANAGING MEMBERS/MANAGERS

TITLE **MGRM** ☒ Delete
NAME ~~**RUANE, BRIAN A**~~
STREET ADDRESS ~~**ONE WALL STREET**~~
CITY-ST-ZIP ~~**NEW YORK, NY 10286**~~

TITLE **MGRM** ☐ Delete
NAME **SHEA, BRIAN T**
STREET ADDRESS **1 PERSHING PLAZA**
CITY-ST-ZIP **JERSEY CITY, NY 07399**

TITLE **MGRM** ☒ Delete
NAME **BRUECKNER, RICHARD F**
STREET ADDRESS **1 PERSHING PLAZA**
CITY-ST-ZIP **JERSEY CITY, NJ 07399**

TITLE **MGR** ☒ Delete
NAME ~~**RAPPOLD, CHARLES E**~~
STREET ADDRESS ~~**1633 BROADWAY**~~
CITY-ST-ZIP ~~**NEW YORK, NY 10286**~~

TITLE **MGR** ☒ Delete
NAME ~~**VELLI, JOSEPH M**~~
STREET ADDRESS ~~**ONE WALL STREET**~~
CITY-ST-ZIP ~~**NEW YORK, NY 10286**~~

TITLE **MGRM** ☒ Delete
NAME ~~**ZANGRE, ANTHONY**~~
STREET ADDRESS ~~**ONE WALL STREET**~~
CITY-ST-ZIP ~~**NEW YORK, NY 10286**~~

10. ADDITIONS/CHANGES

TITLE **MGRM** ☐ Change ☒ Addition
NAME **WALLESTAD, DENNIS A.**
STREET ADDRESS **1 Pershing Plaza**
CITY-ST-ZIP **Jersey City, NJ 07399**

TITLE **MGR** ☐ Change ☒ Addition
NAME **BERNTSEN, TORRY**
STREET ADDRESS **One Wall Street**
CITY-ST-ZIP **New York, NY 10286**

TITLE **MGR** ☐ Change ☒ Addition
NAME **MASTRO, THOMAS A.**
STREET ADDRESS **One Wall Street**
CITY-ST-ZIP **New York, NY 10286**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

11. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

Date

Daytime Phone #

RICHARD F. BRUECKNER 4/23/07 201-413-3070