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K+K SECURITIES, LLC

October 29, 2002

Florida Department of State Division of Corporations Registration Section 409 E. Gaines Street Tallahassee, FL 32399

Dear Registrations:

Please find enclosed an application to register in the state of Florida as a foreign limited liability company. We have attached the following in accordance with your requirements:

Certificate of Designation of Registered Agent/Registered Office Application by Foreign Limited Liability Company Check for \$160 to cover the fees including certified copies Certificate of Good Standing

If you have any questions, please feel free to call me at 212-729-5213.

Thank you for your assistance.

Sincerely,

Sarah-Rachel Walters Compliance Officer



FLORIDA DEPARTMENT OF STATE Jim Smith Secretary of State

November 1, 2002

SARAH-RACHEL WALTERS K & K SECURITIES LLC 850 THIRD AVE., 10TH FLOOR NEW YORK, NY 10022

SUBJECT: K & K SECURITIES LLC Ref. Number: W02000031396

We have received your document for K & K SECURITIES LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not yet transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4), F.S., this office collects a civil penalty of \$1000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report/uniform business report fees due this office.)

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6025.

Trevor Brumbley Document Specialist

Letter Number: 202A00059991

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1.	K&K Securities, LLC (Name of foreign limited liability company)	<u></u> ,-	•
2	Dolawaro 2 52-2292118		
۷.,	(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)		
4.	1/18/2001 (Date of Organization) 5. Perpetual (Duration: Year limited liability company will cease	to	,
6.	exist or "perpetual") upon qualification		
7.	(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.) 850 Third Avenue, 10th Floor		
<i>,</i> .	New York, NY 10022	SEUR	02.01
	(Street address of principal office)	17.4 17.4 17.4	- 030
8.	If limited liability company is a manager-managed company, check here	SET OF	22
€.	The name and usual business addresses of the managing members or managers are as follows:	2% SE	œ
	Electronic Trading Group, LLC, 850 Third Ave., NY, NY 10022		ά
	Jeffrey Mester, 850 Third Ave., New York, NY 10022		
		_	~
	the state of the s		-
l 0 .	Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language translation of the certificate under oath of the translator must be submitted.)		İsin
1	. Nature of business or purposes to be conducted or promoted in Florida:		*4
	Securities Business (Hedge Funds)		
		•	
	Signature of a member or an authorized representative of a member. (In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)		

Kurt Hawkesworth

Typed or printed name of signee

CERTIFICATE OF DESIGNATION OF REGISTERED AGENT/REGISTERED OFFICE

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1.	The name of the Limited Liability Company is:		·
	K&K SECURITIES, LLC	ه محمد	
2.	The name and the Florida street address of the registered agent and office are:		
	RICHARD STEIN		·*
	(Name)	03 DEC	
	505 S. Flagler Drive, Suite 405 Florida street address (P.O. Box NOT ACCEPTABLE))En -4 #	TARE A
	W. Palm Beach FL 33401	¥ 8: 48	-
	aving been named as registered agent and to accept service of process for the above stated limited		

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.

\$ 100.00 Filing Fee for Application

\$ 25.00 Designation of Registered Agent

\$ 30.00 Certified Copy (optional)

\$ 5.00 Certificate of Status (optional)

Delaware

PAGE 1

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I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "K + K SECURITIES LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SEVENTH DAY OF SEPTEMBER, A.D. 2002.



Darriet Smith Hindson
Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 2007923

DATE: 09-27-02

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