

MO2000002814

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

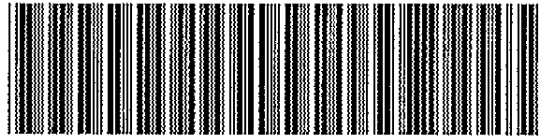
(Business Entity Name)

(Document Number)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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03 JUN -9 AM 10:47
DEPARTMENT OF REVENUE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA



CORPORATION SERVICE COMPANY™

ACCOUNT NO. : 072100000032

REFERENCE : 116485 5168212

AUTHORIZATION :

Patricia Pignato

COST LIMIT : \$ 25.00

ORDER DATE : June 3, 2003

ORDER TIME : 9:26 AM

ORDER NO. : 116485-100

CUSTOMER NO: 5168212

CUSTOMER: Ms. Jane Nutson
Ubs Ag
677 Washington Boulevard
Stamford, CT 06901

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JUN -9 PM 12:22
STATE
TALLAHASSEE, FLORIDA

FOREIGN FILINGS

NAME: UBS WARBURG LLC

XX LIMITED LIABILITY
 NON-PROFIT

 CORPORATE
 LIMITED PARTNERSHIP

XXXX AMENDMENT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Sara Lea -- EXT# 1114

EXAMINER: _____

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO
FILE AMENDMENT TO APPLICATION FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

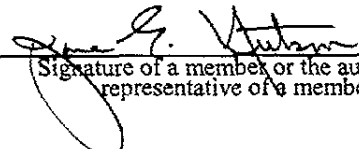
SECTION I (1-3 must be completed)

1. Name of limited liability company as it appears on the records of the Florida Department of State: UBS Warburg LLC
2. Jurisdiction of its organization: Delaware
3. Date authorized to do business in Florida: October 25, 2002

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SECTION II (4-7 complete only the applicable changes)

4. If the amendment changes the name of the limited liability company, when was the change effected under the laws of its jurisdiction of organization? effective 6/9/03
5. New name of the limited liability company: UBS Securities LLC
6. If the amendment changes the period of duration, indicate new period of duration:
7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:
8. If the amendment corrects any false statement, indicate the statement being corrected and the correction:
9. Attached is an original certificate, no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.



Signature of a member or the authorized representative of a member

Jane E. Nutson, Authorized Representative

Typed or printed name of signee

Filing Fee: \$25.00

Delaware

The First State

PAGE 1

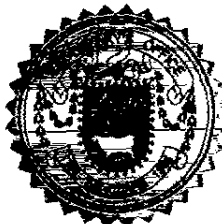
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03 JUN -9 PM 4:22
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "UBS WARBURG LLC" FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "UBS SECURITIES LLC", THE THIRTEENTH DAY OF MAY, A.D. 2003, AT 1:16 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE NINTH DAY OF JUNE, A.D. 2003.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "UBS WARBURG LLC" WAS FORMED ON THE FOURTEENTH DAY OF NOVEMBER, A.D. 1995.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

2561817 8320

AUTHENTICATION: 2455635

030372408

DATE: 06-05-03