

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M02000002814

FILED
Feb 08, 2012
Secretary of State

Entity Name: UBS SECURITIES LLC

Current Principal Place of Business:

677 WASHINGTON BLVD.
STAMFORD, CT 06901

New Principal Place of Business:

Current Mailing Address:

677 WASHINGTON BLVD.
STAMFORD, CT 06901

New Mailing Address:

FEI Number: 13-3873456

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR
Name: AMIN, KAUSHIK
Address: 677 WASHINGTON BLVD.
City-St-Zip: STAMFORD, CT 06901

Title: MGR
Name: BLEUSTEIN, DAVID
Address: 677 WASHINGTON BLVD.
City-St-Zip: STAMFORD, CT 06901

Title: MGR
Name: LEONE, CHRISTOPHER
Address: 677 WASHINGTON BLVD.
City-St-Zip: STAMFORD, CT 06901

Title: MGR
Name: DYRVIK, PER
Address: 677 WASHINGTON BLVD.
City-St-Zip: STAMFORD, CT 06901

Title: MGR
Name: PRIMMER, RYAN F
Address: 677 WASHINGTON BLVD.
City-St-Zip: STAMFORD, CT 06901

Title: MGR
Name: OLSEN, REINHARDT
Address: 677 WASHINGTON BLVD
City-St-Zip: STAMFORD, CT 06901

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: PER DYRVIK

MGR

02/08/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date

M02000002814

2012 Annual Report – State of Florida
UBS Securities LLC
As of February 8, 2012

Managers

Full Legal Name: Kaushik Amin
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901

Full Legal Name: David Bleustein
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901

Full Legal Name: Per Dyrvik
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901

Full Legal Name: Christopher Leone
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901

Full Legal Name: Ryan Primmer
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901

Full Legal Name: Reinhardt Olsen
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901

Full Legal Name: Aryeh Bourkoff
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901

Full Legal Name: Thomas Fox
Title: Manager
Business Addr: 677 Washington Blvd
Stamford, CT 06901