

M02000002441

TO: Registration Section
Division of Corporations

SUBJECT: SOVEREIGN SECURITIES CORPORATION, LLC
(Name of corporation - must include suffix)

Dear Sir or Madam:

000007365950--0
-08/27/02--01022--008
*****78.75 *****78.75

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

000007365950--0
-09/17/02--01022--003
*****51.25 *****51.25

ADRIENNE Y. HART

(Name of Person)

SOVEREIGN SECURITIES CORPORATION, LLC

(Firm/Company)

2000 MARKET STREET, SUITE 710

(Address)

PHILADELPHIA, PA 19103

(City/State and Zip code)

For further information concerning this matter, please call:

W02-25008

ADRIENNE HART at

(Name of Person)

(267) 256-2844

(Area Code & Daytime Telephone Number)

STREET ADDRESS:

Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☒ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
02 SEP 17 PM 3:31

W02-25008

Rep



FLORIDA DEPARTMENT OF STATE

Jim Smith
Secretary of State

August 28, 2002

ADRIENNE Y. HART
SOVEREIGN SECURITIES CORPORATION, LLC
2000 MARKET STREET, SUITE 710
PHILADELPHIA, PA 19103

SUBJECT: SOVEREIGN SECURITIES CORPORATION, LLC
Ref. Number: W02000025008

We have received your document for SOVEREIGN SECURITIES CORPORATION, LLC and check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned to you for the following reason(s):

There is a balance due of \$51.25. Refer to the attached fee schedule for a breakdown of the fees. Please return a copy of this letter to ensure your money is properly credited.

The form and fee you submitted are for a corporation rather than an LLC. A blank LLC form and instructions are enclosed. We are returning the certified copy for the reason explained in the next paragraph, but please also note that the certificate you submit must be issued by the jurisdiction where the company was originally organized.,

Unfortunately, the enclosed certified copy does not meet our filing requirements. We require a certificate of existence, which usually consists of a single sheet of paper and clearly reflects the entity is a valid entity in its home state/country. You can obtain the certificate of existence from the same office that provided you with the certified copy.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6958.

Lee Rivers
Document Specialist

Letter Number: 802A00050193

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13 September 2002

Mr. Lee Rivers
Document Specialist
Registration Section
Florida Department of State
Division of Corporations
409 East Gaines Street
Tallahassee, Florida 32399

RE: Letter Number 802A00050193
Sovereign Securities Corporation, LLC

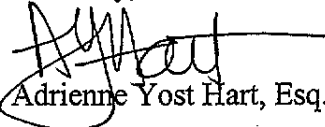
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Dear Mr. Rivers:

In response to your letter dated August 28, 2002, enclosed please find a check in the amount of \$51.25 to satisfy the balance due with respect to Sovereign Securities Corporation, LLC registering as a foreign limited liability company with the State of Florida. Also included, as you requested, is the completed form covering registration of foreign limited liability companies. In addition, enclosed for your review is a copy of a certificate of good standing issued by the Commonwealth of Pennsylvania.

Should you require any additional information to complete this registration process, please do not hesitate to contact me at (267) 256-2844.

Sincerely,


Adrienne Yost Hart, Esq.

Enclosures

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. SOVEREIGN SECURITIES CORPORATION, LLC
(Name of foreign limited liability company)
2. PENNSYLVANIA 3. 23-3069627
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)
4. NOVEMBER 30, 2000 5. PERPETUAL
(Date of Organization) (Duration: Year limited liability company will cease to exist or "perpetual")
6. SEPTEMBER 9, 2002
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 2000 MARKET STREET, SUITE 710, PHILADELPHIA, PA 19103
(Street address of principal office)

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8. If limited liability company is a manager-managed company, check here ☐

9. The name and usual business addresses of the managing members or managers are as follows:

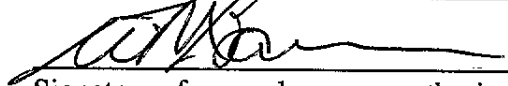
JAMES J. CALLA, SEE ABOVE

WILLIAM M. BASS, SEE ABOVE

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: _____

SECURITIES UNDERWRITING + BROKERAGE



Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

WILLIAM M. BASS

Typed or printed name of signee

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

SOVEREIGN SECURITIES CORPORATION, LLC

2. The name and the Florida street address of the registered agent and office are:

JOHN J. BARRY, III
(Name)

2 NORTH MIAMI TRAIL
ONE SARASOTA TOWER, SUITE 300
Florida street address (P.O. Box **NOT** ACCEPTABLE)

SARASOTA FL 34236
(City/State/Zip)

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Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.

John J. Barry III
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

SEPTEMBER 11, 2002

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

SOVEREIGN SECURITIES CORPORATION, LLC

is duly organized as a Pennsylvania Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office shown as of the date herein.

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IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

C. Michael Stewart

Secretary of the Commonwealth

JSOW