

2003 LIMITED LIABILITY COMPANY UNIFORM BUSINESS REPORT (UBR)

FILED

1682

DOCUMENT # M02000001698

1. Entity Name
GUGGENHEIM INVESTMENT ADVISORS, LLC



03 MAY 12 PM 2:03

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Principal Place of Business
227 WEST MONROE ST., STE. 2900
CHICAGO, IL 60606

Mailing Address
227 WEST MONROE ST., STE. 2900
CHICAGO, IL 60606

2. Principal Place of Business
227 W. Monroe Street
Suite, Apt. #, etc.
Suite 2900

3. Mailing Address c/o Chris Antonow
227 West Monroe Street
Suite, Apt. #, etc.
Suite 2900



☐ CHECK HERE IF MAKING CHANGES

City & State
Chicago, IL

City & State
Chicago, IL

4. FEI Number
Applied For
☒ Not Applicable

Zip Country
60606 USA

Zip Country
60606 USA

5. Certificate of Status Desired ☐ \$5.00 Additional Fee Required

6. Name and Address of Current Registered Agent

LEXISNEXIS DOCUMENT SOLUTIONS INC.
3953 W.W. KELLEY RD.
TALLAHASSEE, FL 32311

7. Name and Address of New Registered Agent

Name
Street Address (P.O. Box Number is Not Acceptable)
City FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$50.00
Make Check Payable to Florida Department of State
Due By May 1, 2003

9. MANAGING MEMBERS/MANAGERS

TITLE Member
NAME Midland Advisors Company
STREET ADDRESS 200 East 10th Street, Suite 303
CITY-ST-ZIP Sioux Falls, SD 57104

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10. ADDITIONS/CHANGES

TITLE
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11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

Midland Advisors Company

SIGNATURE: By: X Assistant Secretary 5/5/03 312/977-4560

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

Date

Daytime Phone #

CR2E083 (10/02)

ACCOUNT FILING COVER SHEET

ACCOUNT NUMBER: FCA000000005

FILED

REFERENCE:
(Sub Account)

1766038

03 MAY 12 PM 2:03

DATE:

5/12

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

REQUESTOR NAME:

Lexis Document Services

ADDRESS:

TELEPHONE:

() () ext ()

CONTACT NAME:

CORPORATION NAME:

Guggenheim Investment Advisors, LLC

DOCUMENT NUMBER:
(if applicable)

AUTHORIZATION:

Cynthia J. Woodyard

UBR

☒ CERTIFIED COPY (1-9)
☒ CERTIFICATE OF STATUS (1-9)
☒ PLAIN STAMPED COPY

() Call When Ready
() Walk In
() Mail Out

() Call if Problem
() Will Wait

RECEIVED
03 MAY 12 PM 12:39
DIVISION OF CORPORATION
PlcX Up