

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M02000001698

FILED
May 01, 2012
Secretary of State

Entity Name: GUGGENHEIM INVESTMENT ADVISORS, LLC

Current Principal Place of Business:

1111 BRICKELL AVENUE
28TH FLOOR
MIAMI, FL 33131 US

New Principal Place of Business:

Current Mailing Address:

C/O RICHARD E. MICHAELS/BAKER & DANIELS
311 S. WACKER DRIVE, SUITE 4400
CHICAGO, IL 60606 US

New Mailing Address:

C/O RICHARD E. MICHAELS/FAEGRE BAKER DANIE
311 S. WACKER DRIVE, SUITE 4400
CHICAGO, IL 60606 US

FEI Number:

FEI Number Applied For ()

FEI Number Not Applicable (X)

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM
Name: GWM HOLDCO, LLC
Address: 227 WEST MONROE STREET, SUITE 4800
City-St-Zip: CHICAGO, IL 60606

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: GWM HOLDCO, LLC

MGRM

05/01/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date