

2009 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M02000001698

FILED
Mar 31, 2009
Secretary of State

Entity Name: GUGGENHEIM INVESTMENT ADVISORS, LLC

Current Principal Place of Business:

1111 BRICKELL AVENUE
28TH FLOOR
MIAMI, FL 33131 US

New Principal Place of Business:

Current Mailing Address:

C/O RICHARD E. MICHAELS
130 E RANDOLPH ST., STE 3800
CHICAGO, IL 60601 US

New Mailing Address:

C/O RICHARD E. MICHAELS
311 S. WACKER DRIVE, SUITE 4400
CHICAGO, IL 60606 US

FEI Number:

FEI Number Applied For ()

FEI Number Not Applicable (X)

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

LEXISNEXIS DOCUMENT SOLUTIONS INC.
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: CORPORATION SERVICE COMPANY

03/31/2009

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM () Delete
Name: GUGGENHEIM MANAGER., INC.
Address: 227 WEST MONROE STREET, SUITE 4800
City-St-Zip: CHICAGO, IL 60606

ADDITIONS/CHANGES:

Title: MGRM (X) Change () Addition
Name: GWM HOLDCO, LLC,
Address: 227 WEST MONROE STREET, SUITE 4800
City-St-Zip: CHICAGO, IL 60606

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: BRIAN T. SIR

MGR

03/31/2009

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date