

**2003 LIMITED LIABILITY COMPANY
UNIFORM BUSINESS REPORT (UBR)**

FILED
Jan 13, 2003 8:00 am
Secretary of State

01-13-2003 90570 026 ****50.00

DOCUMENT # M02000001357

1. Entity Name

GUARDIAN INVESTOR SERVICES LLC



Principal Place of Business

**7 HANOVER SQUARE
NEW YORK NY 10004**

Mailing Address

**7 HANOVER SQUARE
NEW YORK NY 10004**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **13-4198972**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$5.00 Additional
Fee Required

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$50.00
Make Check Payable to Florida Department of State
Due By May 1, 2003

9. MANAGING MEMBERS/MANAGERS

☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
see attached (Exhibit A)

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10. ADDITIONS/CHANGES

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CITY-ST-ZIP

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE:

SIGNATURE REQUIRED

Richard Amiskey

1/7/03

610-807-7710

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

Date

Daytime Phone #

CR2E083 (10/02)

0043833

Attachment
20003383
40200001357

GUARDIAN INVESTOR SERVICES LLC

<u>Manager/Title</u>	<u>Manager</u>	<u>Address</u>
Executive Vice-President	Bruce C. Long	7 Hanover Square New York, NY 10004
Senior Vice President, Fixed Income Securities	Thomas G. Sorell	7 Hanover Square New York, NY 10004
Vice President & Corporate Secretary	Joseph A. Caruso	7 Hanover Square New York, NY 10004
Vice President, Equity Securities	John B. Murphy	7 Hanover Square New York, NY 10004
Senior Vice President & Controller	Frank L. Pepe	7 Hanover Square New York, NY 10004
Vice President & Counsel	Richard T. Potter, Jr.	7 Hanover Square New York, NY 10004
Vice-President, Equity Administration	Donald P. Sullivan, Jr.	3900 Burgess Pl, Beth, PA 18017
Regional Vice President	Joseph Dziena	27 Sturbridge Ct. Egg HarborTwp, NJ 08234
Divisional Vice President	Keith Roddy	56 Hannah's Way Ct. Sugar Land, TX 77479
Vice President, Business Development	Peggy L. Coppola	7 Hanover Square New York, NY 10004
Managing Director	Robert A. Reale	7 Hanover Square New York, NY 10004
2 nd Vice President & Compliance Officer	Richard A. Cumiskey	81 Highland Ave. Bethlehem, PA18017
Assistant Vice President, Systems Support	Scott E. Horowitz	7 Hanover Square New York, NY 10004
2 nd Vice President & Assistant Controller	Paul Iannelli	3900 Burgess Place Bethlehem, PA 18017
Assistant Corporate Secretary & Secretary Pro tem	Karen L. Olvany	7 Hanover Square New York, NY 10004
Regional VP	John V. Coyle	1021 Grand St., Pent. #5E Hoboken, NJ 07030
Treasurer	Earl C. Harry	7 Hanover Square New York, NY 10004
AVP, Product Development & Distribution	Sarah A. Johnson	7 Hanover Square New York, NY 10004
Director, Equity Admn. & Oversight	Lynn Kutzero	81 Highland Ave. Bethlehem, PA18017
Director, Admn. Support	Sharon Campbell	7 Hanover Square New York, NY 10004
AVP, Advisory Services	Joseph Davis	7 Hanover Square New York, NY 10004
District Sales VP	Patrick Burke	6 Greenfield Terrace Scituate, MA 02066
District Sales VP	Tom Devine	1114 Sienna Hill Dr. Houston, TX 77077

Attachment
20003383
MO200001357

VP & National Accounts Manager	William Ford	6 Fontainbleau Dr. Hingham, MA 02043
Director, Advisory Services	Gretchen Hafner	81 Highland Ave. Bethlehem, PA18017
District Sales VP	Alan Matthew	15202 93 rd Pl., NE Bothell, WA 98011
District Sales VP	Greg Rusteberg	20580 N. Landmark Lane Barrington, IL 60010
Vice President, Internal Sales	Brian Krivitsky	7 Hanover Square New York, NY 10004
Director, Equity Sales	Maura Sayers	7 Hanover Square New York, NY 10004
Director	Joseph Sargent	7 Hanover Square New York, NY 10004
Director	Frank Jones	7 Hanover Square New York, NY 10004
Director	Armand dePalo	7 Hanover Square New York, NY 10004
Director	Gary Lenderink	7 Hanover Square New York, NY 10004