



MD10000002840

ACCOUNT NO. : 072100000032

REFERENCE : 472500 4718027

AUTHORIZATION :

COST LIMIT :

\$125.00

Patricia Pizeto

ORDER DATE : December 19, 2001

ORDER TIME : 10:28 AM

ORDER NO. : 472500-005

400004734254--4

CUSTOMER NO: 4718027

CUSTOMER: Margaret Weber, Legal Asst
Wells Fargo & Company East
Mac #n9305-173
Sixth & Marquette Avenue
Minneapolis, MN 55479

FOREIGN FILINGS

NAME: RAGEN MACKENZIE INVESTMENT
SERVICES LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Norma Hull -- EXT# 1115

EXAMINER:

JB
12-20-01

RECEIVED
01 DEC 20 AM 11:38
DEPARTMENT OF STATE
DIVISION OF CORPORATE
AFFAIRS
TALLAHASSEE, FLORIDA

01 DEC 20 PM 1:59
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

APPROVED
AND
FILED

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN
LIMITED LIABILITY COMPANY TO TRANSACTIONS BUSINESS IN THE STATE OF FLORIDA:

1. Ragen MacKenzie Investment Services, LLC
(Name of foreign limited liability company)
2. Delaware
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 91-1320696
(FEI number, if applicable)
4. September 7, 2000
(Date of Organization)
5. Perpetual
(Duration: Year limited liability company will cease to exist or "perpetual")
6. Dec 2001/Jan 2002; upon qualification we intend to register a branch office.
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 1000 2nd Avenue, Suite 3130
Seattle, WA 98104
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☒

9. The name and usual business addresses of the managing members or managers are as follows:

V. Lawrence Bensussen - 999 3rd Avenue, Seattle, WA 98104

James P. Kerr - 999 3rd Avenue, Seattle, WA 98104

Jon Meiners - 1000 2nd Avenue, Suite 3130, Seattle, WA 98104

Michael Meiners - 1000 2nd Avenue, Suite 3130, Seattle, WA 98104

Robert J. Mortell - 1000 2nd Avenue, Suite 3130, Seattle, WA 98104

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: _____

Securities Broker/Dealer

Jon Meiners 12/17/01
Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Jon Meiners

Typed or printed name of signee

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

APPROVED
AND
FILED

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Ragen MacKenzie Investment Services, LLC

2. The name and the Florida street address of the registered agent and office are:

Corporation Service Company

(Name)

1201 Hays Street

Florida street address (P.O. Box **NOT** ACCEPTABLE)

Tallahassee

FL

32301

(City/State/Zip)

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.


Brian Courtney (Signature)
as its agent

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

APPROVED
AND
FILED

State of Delaware
Office of the Secretary of State

PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "RAGEN MACKENZIE INVESTMENT SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINETEENTH DAY OF DECEMBER, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "RAGEN MACKENZIE INVESTMENT SERVICES, LLC" WAS FORMED ON THE SEVENTH DAY OF SEPTEMBER, A.D. 2000.

APPROVED
AND
FILED
01 DEC 20 PM 1:59
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

3285534 8300

AUTHENTICATION: 1517003

010655753

DATE: 12-19-01