

M01000001931

Requester's Name \_\_\_\_\_

Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_ Phone # \_\_\_\_\_

Office Use Only

**CORPORATION NAME(S) & DOCUMENT NUMBER(S), (if known):**

1. LIGHTPANEL TECHNOLOGIES LLC (Corporation Name) 600004471736--5 (Document #)  
 -07/12/01--01070--005  
 \*\*\*\*125.00 \*\*\*\*125.00
2. \_\_\_\_\_ (Corporation Name) \_\_\_\_\_ (Document #)
3. \_\_\_\_\_ (Corporation Name) \_\_\_\_\_ (Document #)
4. \_\_\_\_\_ (Corporation Name) \_\_\_\_\_ (Document #)

- Walk in     Pick up time \_\_\_\_\_     Certified Copy  
 Mail out     Will wait     Photocopy     Certificate of Status

**NEW FILINGS**

- Profit  
 Not for Profit  
 Limited Liability  
 Domestication  
 Other

**AMENDMENTS**

- Amendment  
 Resignation of R.A., Officer/Director  
 Change of Registered Agent  
 Dissolution/Withdrawal  
 Merger

**OTHER FILINGS**

- Annual Report  
 Fictitious Name

**REGISTRATION/QUALIFICATION**

- Foreign  
 Limited Partnership  
 Reinstatement  
 Trademark  
 Other

DIVISION OF CORPORATIONS  
 TALLAHASSEE, FLORIDA  
 2001 AUG 24 AM 8:49  
 FILED

Examiner's Initials



## *Department of State*

### *Memorandum Office of the General Counsel*

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TO: File

FROM: Gerard York, Assistant General Counsel

DATE: August 13, 2001

RE: Lightpanel Technologies LLC

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Based upon the information provided by this LLC, it is my recommendation that this file be closed. According to David M. Roby, Managing Member, the LLC filled out line 6 of its July 12, 2001 application in error, merely intending to be qualified prospectively. It seems unlikely the LLC transacted business in Florida as defined in Chapter 608 before it applied for a Certificate of Authority. It is my opinion that outstanding fees and penalties are not actually owed. As a result, I recommend the closure of this file and issuance of a certificate of authority.

/gty

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DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

TO: Gerry York, General Counsel's Office  
FROM: Gretchen S. Harvey, Registration Section  
DATE: August 9<sup>th</sup>, 2001  
SUBJECT: LIGHTPANEL TECHNOLOGIES LLC  
REFERENCE: W01000016168

Pursuant to Mr. Rody's letter of July 31st, the attached documents and correspondence are being forwarded to you for appropriate handling.

Please note the attached documents appear to meet the filing requirements stipulated in Chapter 607, Florida Statutes, with the exception of any penalty or annual report fees that may be due this office.

Should you have any further questions concerning this matter, please do not hesitate to get in touch.

/gsh

*no physical presence in the State of Florida*  
*Delaney is independent*

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DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

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RECEIVED  
AUG 13 2001

# LightPanel Technologies LLC

10 Technology Drive  
West Lebanon, New Hampshire 03784

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July 31, 2001

Shawn Logan  
Document Specialist  
Florida Department of State  
Division of Corporations  
P.O. Box 6327  
Tallahassee, Florida 32314

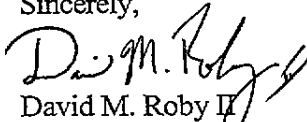
RE: Letter Number 101A00041417

Dear Shawn Logan,

I received your letter regarding the penalty we have incurred for conducting business without registering as a foreign LLC. I am writing with the hope of getting this penalty waived. The reason we did not register with the State of Florida is that LightPanel is a start-up company, and we were simply not aware that this was a requirement—I wrongly assumed that as we did not have any employees or offices in the state, no registration was necessary.

We have been doing business with several counties in Florida, and as part of the SNAPS program we were informed that we had to register with the Department of State. I indicated on our application that we had been doing business in Florida for several years, albeit on a very limited scale, and this is what triggered the penalty—I was certainly not trying to circumvent the rules. I hope that perhaps we can pay the proper fees we should have been paying, instead of this sizeable penalty. Our product is an ultra energy-efficient lamp for emergency EXIT signs that uses only a ¼ watt of power and which lasts for 30 years without requiring any maintenance—for Pinellas County Schools alone we expect to help them save over \$700,000 a year in energy and maintenance costs. Thank you very much for your consideration.

Sincerely,

  
David M. Roby II  
Sales/Administration

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TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

July 13, 2001

LIGHTPANEL TECHNOLOGIES LLC  
10 TECHNOLOGY DR  
WEST LEBANON, NH 03784

SUBJECT: LIGHTPANEL TECHNOLOGIES LLC  
Ref. Number: W01000016168

We have received your document for LIGHTPANEL TECHNOLOGIES LLC and your check(s) totaling \$125.00. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$4477.50.

Please return a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6043.

Shawn Logan  
Document Specialist

Letter Number: 101A00041417

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2001 AUG 24 AM 8:49  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

- 1. LightPanel Technologies LLC (Name of foreign limited liability company)
2. New Hampshire (Jurisdiction under the law of which foreign limited liability company is organized)
3. 02-0485240 (FEI number, if applicable)
4. September 6, 1995 (Date of Organization)
5. perpetual (Duration: Year limited liability company will cease to exist or "perpetual")
6. July 1997 (Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 10 Technology Drive, West Lebanon, NH 03784 (Street address of principal office)

8. If limited liability company is a manager-managed company, check here [ ]

9. The name and usual business addresses of the managing members or managers are as follows:

- David Roby, 10 Technology Drive, West Lebanon, NH 03784
Ken Ungard, 266 Hanover Center Road, Hanover, NH 03755

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TALLAHASSEE, FLORIDA

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: Manufacturer of energy efficient lighting

Signature of a member or an authorized representative of a member. (In accordance with section 608.405(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)
David Roby
Typed or printed name of signee

**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

LightPanel Technologies, LLC

2. The name and the Florida street address of the registered agent and office are:

Patrick Delaney  
(Name)

5299 39th Street  
Florida street address (P.O. Box **NOT** ACCEPTABLE)

St. Petersburg FL 33711  
City/State/Zip

DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

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*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.*

*Patrick J. Delaney*  
(Signature)

\$ 100.00 Filing Fee for Application  
\$ 25.00 Designation of Registered Agent  
\$ 30.00 Certified Copy (optional)  
\$ 5.00 Certificate of Status (optional)

State of New Hampshire  
Department of State

CERTIFICATE OF EXISTENCE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that LIGHTPANEL TECHNOLOGIES LLC, (formerly ALP ADVANCED LUMINESCENT PRODUCTS, LLC, formerly ROBY, UNGARD & CO., LLC) is a New Hampshire limited liability company formed on SEPTEMBER 6, 1995. I further certify that all fees and annual reports required by the Secretary of State's office have been received and that a certificate of cancellation has not been filed.

IN TESTIMONY WHEREOF, I hereto  
set my hand and cause to be affixed  
the Seal of the State of New Hampshire,  
this 26th day of June, A.D. 2001

*Wm. Gardner*

William M. Gardner  
Secretary of State

