

10100000 1455

Requester's Name  
Akin, Gump, Strass  
Address  
1700 Pacific Avenue, Suite 400  
Dallas, TX 75201  
City/State/Zip Phone #

500004398125--2  
-06/12/01--01015--005  
\*\*\*2217.50 \*\*\*\*125.00

Office Use Only

CORPORATION NAME(S) & DOCUMENT NUMBER(S), (if known):

1. \_\_\_\_\_  
(Corporation Name) (Document #)
2. \_\_\_\_\_  
(Corporation Name) (Document #)
3. \_\_\_\_\_  
(Corporation Name) (Document #)
4. \_\_\_\_\_  
(Corporation Name) (Document #)

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TALLAHASSEE, FLORIDA

- ☐ Walk in ☐ Pick up time \_\_\_\_\_ ☐ Certified Copy  
☐ Mail out ☐ Will wait ☐ Photocopy ☐ Certificate of Status

NEW FILINGS

- ☐ Profit  
☐ Not for Profit  
☐ Limited Liability  
☐ Domestication  
☐ Other

AMENDMENTS

- ☐ Amendment  
☐ Resignation of R.A., Officer/Director  
☐ Change of Registered Agent  
☐ Dissolution/Withdrawal  
☐ Merger

OTHER FILINGS

- ☐ Annual Report  
☐ Fictitious Name

REGISTRATION/QUALIFICATION

- ☐ Foreign  
☐ Limited Partnership  
☐ Reinstatement  
☐ Trademark  
☐ Other

101-1455  
gl

Examiner's Initials

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.

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ATTORNEYS AT LAW  
1700 PACIFIC AVENUE  
SUITE 4100  
DALLAS, TEXAS 75201  
(214) 969-2800  
FAX (214) 969-4343  
www.akingump.com

DIRECT DIAL NUMBER (214) 969-2899  
E-MAIL ADDRESS mlancaster@akingump.com

RIYADH (AFFILIATE)

June 7, 2001

VIA OVERNIGHT

Registration Section  
Division of Corporations  
409 E. Gaines Street  
Tallahassee, Florida 32399

Ladies and Gentlemen:

Enclosed are the following documents:

1. Application for Authorization to Transact Business in Florida for Imperium Advisors, LLC ("IA"), accompanies by a good standing certificate from Delaware;
2. Certificate of Designation of Registered Agent/Office for IA;
3. Application for Authorization to Transact Business in Florida for Imperium Capital Management, LLC ("ICM"), accompanies by a good standing certificate from Delaware;
4. Certificate of Designation of Registered Agent/Office for ICM;
5. Application for Authorization to Transact Business in Florida for Imperium Market Neutral Fund, LP (the "Fund");
6. Affidavit of Capital Contributions for the Fund;
7. Application for Authorization to Transact Business in Florida for Imperium Market Neutral Fund (QP), LP (the "QP Fund");
8. Affidavit of Capital Contributions for the QP Fund; and
9. A check in the amount of \$2,217.50 for filing fees.

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AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.  
June 8, 2001  
Page 2

Please file the above-referenced documents and provide me with certified copies. If you have any questions please contact me at the number above.

Sincerely,



Michelle Newby Lancaster  
Paralegal

MNL  
Enclosures  
cc: M. Todd Williams

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TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

June 15, 2001

MICHELLE LANCASTER  
1700 PACIFIC AVENUE, SUITE 4100  
DALLAS, TX 75201

SUBJECT: IMPERIUM CAPITAL MANAGEMENT, LLC  
Ref. Number: W01000013787

We have received your document for IMPERIUM CAPITAL MANAGEMENT, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not yet transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4), F.S., this office collects a civil penalty of \$1000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report/uniform business report fees due this office.)

Please return your document, along with a copy of this letter, within 60 days your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6051.

Tammi Cline  
Document Specialist

Letter Number: 401A00036772

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.

ATTORNEYS AT LAW

1700 PACIFIC AVENUE

SUITE 4100

DALLAS, TEXAS 75201

(214) 969-2800

FAX (214) 969-4343

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WASHINGTON, D.C.

DIRECT DIAL NUMBER (214) 969-2899  
E-MAIL ADDRESS mlancaster@akingump.com

RIYADH (AFFILIATE)

June 25, 2001

VIA OVERNIGHT

Registration Section  
Division of Corporations  
409 E. Gaines Street  
Tallahassee, Florida 32399

Ladies and Gentlemen:

I am in receipt of your letters 601A00036771 and 401A00036772. Enclosed are copies of said letters and the corrected documents you requested.

Please file the above-referenced documents and provide me with certified copies. If you have any questions please contact me at the number above.

Sincerely,



Michelle Newby Lancaster  
Paralegal

MNL  
Enclosures  
cc: M. Todd Williams

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TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

June 15, 2001

MICHELLE LANCASTER  
1700 PACIFIC AVENUE, SUITE 4100  
DALLAS, TX 75201

SUBJECT: IMPERIUM CAPITAL MANAGEMENT, LLC  
Ref. Number: W01000013787

We have received your document for IMPERIUM CAPITAL MANAGEMENT, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

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Tammi Cline  
Document Specialist

Letter Number: 401A00036772

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TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO  
TRANSACTION BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:*

1. Imperium Capital Management, LLC  
(Name of foreign limited liability company)
2. Delaware  
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 74-3002690  
(FEI number, if applicable)
4. May 17, 2001  
(Date of Organization)
5. perpetual  
(Duration: Year limited liability company will cease to exist or "perpetual")
6. upon qualification  
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. One Tampa City Center, Suite 2505  
Tampa, Florida 33602  
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☒

9. The name and usual business addresses of the managing members or managers are as follows:

Steve Goldfield, One Tampa City Center, Suite 2505, Tampa, Florida 33602

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TALLAHASSEE, FLORIDA

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: general partner of  
limited partnerships and any other activity permitted by law

Michelle Newby Lancaster  
Signature of a member or an authorized representative of a member.  
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Michelle Newby Lancaster

Typed or printed name of signee

**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,  
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING  
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE  
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Imperium Capital Management, LLC

2. The name and the Florida street address of the registered agent and office are:

Steve Goldfield

(Name)

One Tampa City Center, Suite 2505

Florida street address (P.O. Box **NOT** ACCEPTABLE)

Tampa, FL 33602

City/State/Zip

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.*

  
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

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TALLAHASSEE, FLORIDA

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*State of Delaware*  
*Office of the Secretary of State* PAGE 1

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I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "IMPERIUM CAPITAL MANAGEMENT, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-NINTH DAY OF MAY, A.D. 2001.



*Harriet Smith Windsor*  
*Harriet Smith Windsor, Secretary of State*

3393600 8300

010255663

AUTHENTICATION: 1158062

DATE: 05-29-01