2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # M00000002522

1. Entity Name

RYAN, BECK & CO., LLC

Principal Place of Business	Mailing Address
220 S. Orange Avenue Livingston NJ 07039 USA	← Same

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business	**3.** Mailing Address
Suite, Apt. #, etc.	Suite, Apt. #, etc.
City & State	City & State
Zip / Country	Zip / Country

4. FEI Number 22-3762759   □ Applied For   □ Not Applicable

5. Certificate of Status Desired □   **$5.00** Additional Fee Required

6. Name and Address of Current Registered Agent	**7.** Name and Address of New Registered Agent
CT Corporation System 1200 S. Pine Island Road Plantation FL 33324	Name
	Street Address (P.O. Box Number is Not Acceptable)
	City FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____

Signature, typed or printed name of registered agent and title if applicable.   (NOTE: Registered Agent signature required when reinstating)   DATE

9. MANAGING MEMBERS / MEMBERS		**10.** ADDITIONS / CHANGES	
TITLE CBPE / NAME Ben Plotkin / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Delete	TITLE CFO/CAO / NAME Len Stanley / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Change □ Addition
TITLE EVP / NAME Jack Rosenthal / STREET ADDRESS 220 S. Orange Avenue / CITY-ST-ZIP Livingston NJ 07039	□ Delete	TITLE EVP / NAME Jay Suskind / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Change □ Addition
TITLE D / NAME Michael M. Horn / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Delete	TITLE FVP / NAME Jay Lupo / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Change ☑ Addition
TITLE EVP / NAME Matt Naula / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	□ Change □ Addition
TITLE D / NAME Richard B. Neff / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	□ Change □ Addition
TITLE D / NAME David Kotok / STREET ADDRESS 220 S. Orange Ave / CITY-ST-ZIP Livingston NJ 07039	□ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	□ Change □ Addition

CR2E083 (11/00)

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: _____   973-597-5710

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE   Date   Daytime Phone #