515.247.5111

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # M0000001729 1. Entity Name PRINCIPAL CAPITAL FUTURES TRADING ADVISOR, LLC							
				FILED			
711 HIGH STREET 71		Mailing Address 711 HIGH STREET DES MOINES IA 50392-030	-		2001 APR 20 AM 11: 26 DIVISION OF CORPORATIONS (FALLAHASSEE, FLORIDA		
2. Principal F	Place of Business .	3. Mailing Address	Mailing Address				
Suite, Apt. #, etc. S		Suite, Apt. #, etc.	Suite, Apt. #, etc.		DO NOT WRITE IN THIS SPACE		
City & State C		City & State	Dity & State .		4. FEI Number APPLIED FOR Applied For Not Applicable	le	
Zip Country		Zip	Zip Counti		5. Certificate of Status Desired Status Desired Fee Required		
	6. Name and Address of Current	Registered Agent			7. Name and Address of New Registered Agent	\Box	
	•			Name N/A			
C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD			,		(P.O. Box Number is Not Acceptable)		
PLANTATI	ON FL 33324						
				City	FL Zip Code		
SIGNATURE .	N/A Signature, typed or printed name of registered agent			Agent signature required			
	•	Make Check Pay		EE IS \$50.00 Department of			
9.	MANAGING MEMB	ERS/MEMBERS	10.		ADDITIONS/CHANGES	Д,	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGRM Delete PRINCIPAL CAPITAL MANAGEMENT, 711 High Street Des Moines, IA 50392-0306			C ET ADDRESS ST-ZIP	6000040364556000000000000000000000000000		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	200 11021100; 221	☐ Delete ··			☐ Change ☐ Addition		
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TITLE NAME STREET ADDRESS CITY-ST-ZIP	•	_ □ Delete		T Address ST-Zip	☐ Change ☐ Addition)	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	NA ST		3	T ADDRESS ST-ZIP	☐ Change ☐ Addition	1	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		· Delete		T ADDRESS ST-Zip	☐ Change ☐ Addition	'	
indicated	certify that the information supplied with on this report is true and accurate and bility company or the receiver or truste	that my signature shall have the e empowered to execute this re	he same eport as	legal effect as if m required by Chapti		7	