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BLACKWOOD SECURITIES, LLC

100 Wall Street, 14FL, New York, N.Y. 10005 Tel: (212) 655-1770 Fax: (212) 655-1760

May 05, 2000

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-05/09/00-01072-001
*****72.50 *****72.50

Florida Department of State
Division of Corporations
409 E. Gaines Street
Tallahassee, FL 32399

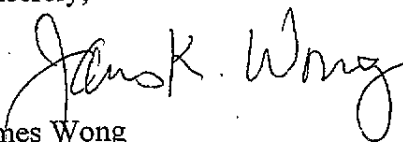
W-12670
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-03/31/00-01100-010
*****87.50 *****87.50

RE: Blackwood Securities, LLC # 44669

W-9210

Blackwood Securities, LLC hereby submit documents to be register in the state of Florida as broker-dealer. Mr. Craig Schlifstein is the Qualifying Officer, he has passed Series 63, Series 7, and Series 24.

Sincerely,


James Wong
Financial Principal

FILED
00 MAY 12 PM 11:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

mt
5/17



FLORIDA DEPARTMENT OF STATE

Katherine Harris
Secretary of State

May 16, 2000

JAMES WONG
100 WALL STREET 14FL
NEW YORK, NY 10005

SUBJECT: BLACKWOOD SECURITIES LLC
Ref. Number: W00000012670

We have received your document for BLACKWOOD SECURITIES LLC and check(s) totaling \$72.50. However, the enclosed document has not been filed and is being returned to you for the following reason(s):

There is a balance due of \$52.50. Refer to the attached fee schedule for a breakdown of the fees. Please return a copy of this letter to ensure your money is properly credited.

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not yet transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4), F.S., this office collects a civil penalty of \$1000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report/uniform business report fees due this office.)

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays
Document Specialist

Letter Number: 400A00027346

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACTION BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. BLACKWOOD Securities, LLC
(Name of foreign limited liability company)
2. New York
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 13-3983783
(FEI number, if applicable)
4. JAN 8, 1998
(Date of Organization)
5. Perpetual
(Duration: Year limited liability company will cease to exist or "perpetual")
6. UNKNOWN
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. PENDING
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☐

9. The name and usual business addresses of the managing members or managers are as follows:

CRAIG SCHLIFSTEIN % BLACKWOOD 100 WALL STREET NYC 10038
BEN WEINGER % BLACKWOOD 100 WALL STREET NYC 10038

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: Broker-Dealer

(Signature)
Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

CRAIG SCHLIFSTEIN
Typed or printed name of signee

FILED
00 MAY 12
TALMADGE
SECRETARY OF STATE

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

BLACKWOOD Securities, LLC

2. The name and the Florida street address of the registered agent and office are:

SCOTT C. ROE
(Name)

1600 S.E. 15TH Street #410
Florida street address (P.O. Box NOT ACCEPTABLE)

FORT Lauderdale FL 33316
City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.

Scott C. Roe

(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

00 MAY 12

FILED