



THE KELCO GROUP

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April 17, 2000

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Via Airborne Delivery
Registration Section
Division of Corporations
409 E. Gaines Street
Tallahassee, FL 32399

To Whom It May Concern:

Enclosed please find the Application for Registration of a Foreign Limited Liability Company, a Certificate of Good standing, and a check in the amount of \$125.00.

Please return the Certificate of Authority to my attention, c/o Investment Management, LLC, 269 W. Main Street, Lexington, KY 40507. If you have any questions, please feel free to contact me at (606) 232-8371.

Sincerely,

Stacey R. Trombetta
Legal Department

Enclosures

FILED
00 MAR 18 AM 9:06
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Investment Management, LLC
(Name of foreign limited liability company)
2. New Jersey
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 61-1365061
(FEI number, if applicable)
4. March 22, 1996
(Date of Organization)
5. Perpetual
(Duration: Year limited liability company will cease to exist or "perpetual")
6. Has never transacted business in Florida
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 269 West Main Street
Lexington, KY 40507
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☒
9. The usual business addresses of the managing members or managers are as follows:

269 West Main Street
Lexington, KY 40507

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida:

Broker / Dealer
in Securities

Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Stephen L. Keller
Typed or printed name of signee

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Investment Management, LLC D/B/A Lexington Capital mgmt.
LLC

2. The name and the Florida street address of the registered agent and office are:

CT Corporation System
(Name)

1200 S. Pine Island Road
Florida street address (P.O. Box **NOT** ACCEPTABLE)

Plantation FL 33324
City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S..

Susan J. Metze
(Signature)

Susan J. Metze		
Assistant Secretary	\$ 100.00	Filing Fee for Application
	\$ 25.00	Designation of Registered Agent
	\$ 30.00	Certified Copy (optional)
	\$ 5.00	Certificate of Status (optional)

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00 MAR 18 AM 9:06
TALLAHASSEE, FLORIDA
SECRETARY OF STATE

STATE OF NEW JERSEY
DEPARTMENT OF TREASURY
SHORT FORM STANDING

INVESTMENT MANAGEMENT, LLC
With the Previous or Alternate Name
JOSEPH DANIELS SECURITIES LLC

I, the Treasurer of the State of New Jersey,
do hereby certify that the above-named
New Jersey Domestic Limited Liability Company was
registered by this office on March 26, 1996.

As of the date of this certificate, said business
continues as an active business in good standing
in the State of New Jersey, and its Annual Reports
are current.

I further certify that the registered agent and
registered office are:

Corporation Service Company
830 Bear Tavern Road
West Trenton, NJ 08628

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TALLAHASSEE, FLORIDA

STATE OF NEW JERSEY
DEPARTMENT OF TREASURY
SHORT FORM STANDING

INVESTMENT MANAGEMENT, LLC
With the Previous or Alternate Name
JOSEPH DANIELS SECURITIES LLC



IN TESTIMONY WHEREOF, I have
hereunto set my hand and
affixed my Official Seal
at Trenton, this
10th day of April, 2000

Roland M Machold

Roland M Machold
Treasurer

FILED
MAR 18 AM 9:06
STATE OF NEW JERSEY
TREASURY DEPARTMENT
TRENTON, NEW JERSEY