

**MODIFIED**  
Florida Department of State  
Division of Corporations  
Electronic Filing Cover Sheet

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To: Division of Corporations  
Fax Number : (850) 617-6383

From: Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (614) 280-3338  
Fax Number : (954) 208-0845

**\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\***

**Email Address:** \_\_\_\_\_

**LLC AMND/RESTATE/CORRECT OR M/MG RESIGN  
PRUDENTIAL INVESTMENTS LLC**

Certificate of Status	0
Certified Copy	0
Page Count	06
Estimated Charge	\$25.00

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Corporate Filing Menu

Help

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** Prudential Investments LLC  
Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Maggie Palen

Name of Person

Prudential Financial, Inc.

Firm/Company

751 Broad Street

Address

Newark, NJ, 07201

City/State and Zip Code

maggie.palen@prudential.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Maggie Palen

at ( 973 )

8023132

Name of Person

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, Florida 32301

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

☐ \$25 Filing Fee

☐ \$30 Filing Fee &  
Certificate of Status

☒ \$55 Filing Fee &  
Certified Copy

☐ \$60 Filing Fee,  
Certificate of Status &  
Certified Copy

CR21-053 (9/15)

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE  
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT  
BUSINESS IN FLORIDA**

**SECTION I (1-4 must be completed)**

1. Name of limited liability company as it appears on the records of the Florida Department of

State: Prudential Investments LLC

Enter new principal office address, if applicable: \_\_\_\_\_

(Principal office address  
MUST BE A STREET ADDRESS)

Enter new mailing address, if applicable: \_\_\_\_\_

(Mailing address  
MAY BE A POST OFFICE BOX)

2. The Florida document number of this limited liability company is: M00000000244

3. Jurisdiction of its organization: New York

4. Date authorized to do business in Florida: 02/07/2000

**SECTION II (5-9 complete only the applicable changes)**

5. New name of the limited liability company: PGIM Investments LLC  
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C.," or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: \_\_\_\_\_

New Registered Office Address: \_\_\_\_\_

Enter Florida Street Address

\_\_\_\_\_  
City Florida Zip Code

New Registered Agent's Signature, if changing Registered Agent:

*I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.*

If Changing Registered Agent, Signature of New Registered Agent

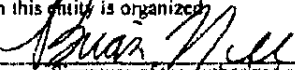
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7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change:

<u>Title/Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
			<input type="checkbox"/> Add
			<input type="checkbox"/> Remove
			<input type="checkbox"/> Add
			<input type="checkbox"/> Remove
			<input type="checkbox"/> Add
			<input type="checkbox"/> Remove
			<input type="checkbox"/> Add
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9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

  
 Signature of the authorized representative

Brian D. Nee

Typed or printed name of signer

Filing Fee: \$25.00

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**State of New York  
Department of State } ss:**

I hereby certify, that a diligent examination has been made of the Limited Liability Company index for documents filed with this Department by PRUDENTIAL MUTUAL FUND MANAGEMENT LLC, a Limited Liability Company, and that upon such examination the following has been filed with this office:

An Articles of Organization of PRUDENTIAL MUTUAL FUND MANAGEMENT LLC was filed on 09/24/1996.

An Affidavit of Publication of PRUDENTIAL MUTUAL FUND MANAGEMENT LLC was filed on 12/23/1996.

An Affidavit of Publication of PRUDENTIAL MUTUAL FUND MANAGEMENT LLC was filed on 12/23/1996.

A certificate changing name to PRUDENTIAL INVESTMENTS FUND MANAGEMENT LLC was filed on 05/01/1997.

A Certificate of Change was filed on 12/20/1999.

A Biennial Statement was filed 10/24/2000.

A certificate changing name to PRUDENTIAL INVESTMENTS LLC was filed on 11/01/2001.

A Biennial Statement was filed 10/04/2002.

A Biennial Statement was filed 09/27/2004.

A Biennial Statement was filed 09/22/2006.

A Biennial Statement was filed 09/25/2008.

A Biennial Statement was filed 09/29/2010.

A Biennial Statement was filed 09/04/2012.

A Biennial Statement was filed 09/04/2014.

A Biennial Statement was filed 09/01/2016.

A certificate changing name to PGIM INVESTMENTS LLC was filed on 03/22/2017.

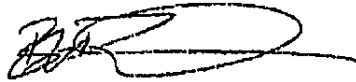
I further certify, that no other documents have been filed by such Limited Liability Company.

\*\*\*

Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 22nd day of March



*two thousand and seventeen.*

A handwritten signature in black ink, appearing to read 'B. Fitzgerald', with a long horizontal flourish extending to the right.

Brendan W. Fitzgerald  
Executive Deputy Secretary of State