



January 18, 2000

Florida Department of State
Secretary of State
Registration Section
Division of Corporations
409 East Gaines Street
Tallahassee, FL 32399

600003103146--5
-01/19/00--01086--005
****125.00 ****125.00

Re: On-Site Trading LLC (OSTLLC)
CRD #: 46281

To Whom It May Concern:

I am writing in connection with the above referenced Broker-Dealer, which desires to conduct business in the State of Florida.

OSTLLC is a member of the Philadelphia Stock Exchange (PHLX) and engages exclusively in proprietary trading for members (associated members are required to successfully complete the Series 7 exam prior to becoming a member of the LLC). OSTLLC does not conduct business of any kind with the public nor does it intend to do any business with the public at any time. OSTLLC engages in proprietary trading through a clearing broker, Spear Leeds & Kellogg, which is registered in the State of Florida.

OSTLLC is a wholly owned subsidiary of On-Site Trading, Inc. (OSTI), which is an NASD member and a Broker-Dealer properly registered in the State of Florida, and currently has three (3) branch offices in the State of Florida.

We wish to be in full compliance with the State of Florida. If you have any questions or require additional information, please feel free to contact me. Your prompt attention to this matter is greatly appreciated.

Sincerely,

Lauren A. Fiorini
Registration Manager

FILED
JAN 19 AM 9:00
TALLAHASSEE, FLORIDA

HEADQUARTERS: 98 CUTTER MILL ROAD • GREAT NECK, NY 11021 • TEL (516) 482-9305 • FAX (516) 482-8525

LOCATIONS AT:

SARASOTA, FL

BOCA RATON, FL

WEST PALM BEACH, FL

DENVER, CO

BETHESDA, MD

HACKENSACK, NJ

NEW YORK, NY

REGO PARK, NY

TARRYTOWN, NY

MELVILLE, NY

GREAT NECK, NY

CEDARHURST, NY

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. On-Site Trading, LLC
(Name of foreign limited liability company)
2. New York
(Jurisdiction under the law of which foreign limited liability company is organized)
3. N/A
(FEI number, if applicable)
4. September 2, 1999
(Date of Organization)
5. Perpetual
(Duration: Year limited liability company will cease to exist or "perpetual")
6. At this time OSTUC has not effected business - pending registration
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 98 Cuttermill Road
Great Neck NY 11021
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☐

9. The usual business addresses of the managing members or managers are as follows:

On-Site Trading, LLC
98 Cuttermill Road
Great Neck NY 11021

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: Please
see attached

[Signature]
Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

LINUS NWAIGWE

Typed or printed name of signee

FILED
00 JAN 19 AM 9:01
TALLAHASSEE, FLORIDA

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

On-Site Trading, LLC

2. The name and the Florida street address of the registered agent and office are:

Kenneth Wayne Steppings
(Name)

7100 West Camino Real
Florida street address (P.O. Box NOT ACCEPTABLE)

Boca Raton FL 33433
City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S..

Wayne Steppings
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

STATE OF FLORIDA
TALLAHASSEE, FLORIDA

00 JAN 19 AM 9:01

FILED

**State of New York } ss:
Department of State**

I hereby certify, that ON-SITE TRADING, LLC a NEW YORK limited liability company filed a Certificate of Articles of Organization pursuant to section 203 of the Limited Liability Company Law on 07/21/1995, and that the limited liability company is subsisting so far as shown by the records of the Department.

The limited liability company has not filed proofs of publication under section 206 of the Limited Liability Company Law.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 13th day of January
two thousand.*

Special Deputy Secretary of State