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ACCOUNT NO. :

072100000032

REFERENCE : 389617

4322384

AUTHORIZATION

COST LIMIT : \$ 25.00

ORDER DATE: December 17, 2001

ORDER TIME : 10:59 AM

ORDER NO. : 389617-005

CUSTOMER NO: 4322384

CUSTOMER: Lori Seavey, Legal Assistant

Weil Gotshal & Manges Llp

Suite 2100

701 Brickell Ave Miami, FL 33131

THE HEAVEN GROUP, L.C.

XX ARTICLES OF DISSOLUTION

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

CERTIFIED COPY __ PLAIN STAMPED COPY

CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Janna Wilson - EXT# 1155

ARTICLES OF DISSOLUTION FOR THE HEAVEN GROUP, L.C. A FLORIDA LIMITED LIABILITY COMPANY

- 1. The name of the limited liability company is The Heaven Group, L.C.
- 2. The effective date of the limited liability company's dissolution shall be the date of the filing of these Articles of Dissolution with the Florida Department of State.
- 3. The reason for the filing of these Articles of Dissolution is:

On February 23, 2000, Otto G. Obermaier (the "Receiver") was appointed receiver for this entity in two separate, but essentially identical, actions commenced by the Securities and Exchange Commission and the Commodity Futures Trading Commission before the United States District Court for the Southern District of New York and pending as Case Nos. 00 Civ 1316 (RCC) and 00 Civ 1317 (RCC). The Receiver, in his capacity as such, has executed these Articles of Dissolution in respect of this entity.

4. CHECK ONE: All debts, obligations and liabilities of the limited liability company by have been paid or discharged. OR Adequate provision has been made for the debts, obligations and liabilities pursuant to s. 608.4421. 5. All remaining property and assets have been distributed among its members in accordance with their respective rights and interests. 6. CHECK ONE: There are no suits pending against the company in any court. OR Adequate provision has been made for the satisfaction of any judgment, order or decree, which may be entered against it in any pending suit. Executed this day of December, 2001.

Otto G. Obermaier, as Receiver for The Heaven Group, L.C., et al., pursuant to Consent Orders of the U.S. District Court for the Southern District of New York dated February 24, 2000 (attached as Exhibit "A")

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

COMMODITY FUTURES TRADING COMMISSION,

Plaintiff.

Y.

DAVID M. MOBLEY, SR.; MARICOPA INVESTMENT FUND, LTD.; MARICOPA INDEX HEDGE FUND, LTD.; MARICOPA FINANCIAL CORPORATION; ENSIGN TRADING CORPORATION; MARICOPA INTERNATIONAL INVESTMENT CORP. d/b/a MARICOPA INVESTMENT CORP.; and IAM INC.,

Defendants,

-and-

MARICOPA ECLIPSE PARTNERS, LTD.;
MARICOPA OVERSEAS LTD.; EPWORTH
FINANCIAL, LTD.; MARICOPA CAPITAL
MANAGEMENT L.C.; MOBLEY TRADING &
INVESTMENT CORP.; and D. MOBLEY
INCORPORATED,

Relief Defendants

No. 00 Civ. 1317 (RCC)

ORDER APPOINTING RECEIVER ON CONSENT

APPROVED

APPROVED

APPROVED

APPROVED

APPROVED

APPROVED

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IT IS HEREBY ORDERED that OTTO G. OBERTALER, ESQ. of the firm of WELL GOISMAL A MANGES LLP is appointed to act as receiver for defendants David M. Mobley, St., Maricopa Investment Fund, Ltd., Maricopa Indax Hedge Fund, Ltd., Maricopa Financial Corporation, Ensign Trading Corporation, Maricopa International Investment Corp. d/b/a Maricopa Investment Corp., and IAM Inc. (the "Defendants"), and relief defendants Maricopa

Eclipse Parmers, Ltd., Maricopa Overseas Ltd., Epworth Financial, Ltd., Maricopa Capital Management L.C., Mobiley Trading & Investment Corp., and D. Mobiley Incorporated (the "Relief Defendants"). The receiver is hereby empowered to:

- A. take and retain immediate possession, custody, and control of all assets and property and the books and records of the Defendants and Relief Defendants;
- B. take all steps he deems necessary to secure and protect the assets and property of the Defendants and Relief Defendants, including but not limited to the premises, files, and information systems of the Defendants and Relief Defendants:
- C. engage and employ persons, in his discretion, to assist him in carrying our his duties and responsibilities hereunder, including accountants, attorneys, securities traders, commodity traders, investment advisers, commodity trading advisors and other experts:
- D. sequire and retain all rights and powers that the Defendants and Relief Defendants have to manage, commol, operate, and maintain their businesses (including but not limited to the power to direct, hire, suspend, and terminate personnel), and to possess, receive, or use income, earnings, rents, and profits with full power to commence, maintain, defend, or participate in legal proceedings, to sue for, collect, receive and take into possession all goods, chattels, rights, general imangibles, choses in action, credits, monies, effects, lands, books and records of account, and other papers, including exclusive authority to make expenditures on behalf of the Defendants and Relief Defendants, with a view to preventing loss, damage, and injury to investors, and preserving assets and the records of the Defendants and Relief Defendants

APPROVED

- Notwithstanding the asset freeze order entered by this Court on February 22.

 2000, the receiver shall have the power to open and close bank accounts and securities and commodity accounts; to purchase or sell securities and commodity futures and options in any such account of the Defendants and Relief Defendants; and to execute checks or otherwise disburse money from those bank or commodities accounts of the Defendants and Relief Defendants to pay their obligations in the normal course of business; except, however, that pending further order of the Court, neither the Defendants, the Relief Defendants, nor the receiver shall make any payment, distribution, or redemption to any investor in the Manicopa Investment Fund, Ltd., Manicopa Index Hedge Fund, Ltd., Manicopa Financial Corporation, or Ensign Trading Corporation;
- F. review the records and reports of transactions by the Defendants and Relief

 Defendants and immediately report to the CFTC any transactions that appear not

 to be in the ordinary course of business;
- G. if appropriate, file for relief and protection under the Federal Bankruptcy Code, on behalf of the Defendants or Relief Defendants, after notice to all parties in this action; and
- H. take such further action as the Court shall deem equitable, just and appropriate under the circumstances upon proper application.

II.

IT IS FURTHER ORDERED that the receiver shall:

A. report to the Court and the parties within 30 days of the entry of this Order,

subject to such extensions as may be granted in writing by the CFTC or the Court, the following information for each of the Defendants and Relief Defendants:

- 1. The amount of cash on hand; and
- all monthly income and expenses; and
- B. report to the Court and the parties by May 31, 2000, subject to extensions as may be granted in writing by the CFTC or the Court, the following information for each of the Defendants and Relief Defendants:
 - positions or accounts (futures or options) and real or personal property
 currently beld directly or indirectly by or for the benefit of the Defendants
 and Relief Defendants and each of their controlled, related, or affiliated
 entities, including but not limited to bank accounts, brokerage accounts,
 investments, business interests, and real and personal property, wherever
 situated, identifying and describing each seast and liability, its current
 - 2. all money, assets, funds, securities, commodity interest positions or accounts (futures or options) and real or personal property received by the Defendants and Relief Defendants at any time from September 1, 1992, to the date of the accounting, describing the source, amount, disposition and current location of each of the items listed:
- 3. all money, assets, funds, securities, commedity interest positions or accounts (futures or options) and real or personal property disbursed by any of the Defendants and Relief Defendants at any time from September

APPROVED

the names, last known addresses, and account-identifying information of all financial institutions, bailers, debtors, brokerage firms and other persons and entities that are currently holding any money, assets, funds, securities, commodity interest positions or accounts (futures or options), or real or personal property for the direct or indirect benefit of the Defendants and Relief Defendants.

Ш.

IT IS FURTHER ORDERED that the receiver shall not be required to post any bond or security, provided however that if the receiver chooses to procure such a bond or security, the Defendants and Relief Defendants shall pay the reasonable costs and expenses thereof.

IV.

reasonable costs, fees, and expenses of the receiver incurred in connection with the performance of his respective duties described herein, including but not limited to the reasonable costs, fees, and expenses of all persons who may be engaged or employed by the receiver to assist him in carrying our his duties and obligations. All applications for costs, fees, and expenses of the receiver and those employed by him shall be made by application to the Court, setting forth in reasonable datail the nature of such costs, fees, and expenses. At least ten days before making such an application to the Court, the receiver shall submit the application to the CFTC, which may advise the Court whether it has any objection. Upon approval of any such application by the

APPROVE:

Court, the receiver may pay himself and those employed by him from the assets of the Defendants and Relief Defendants under his control. Notwithstanding anything to the contrary in this paragraph, the receiver is authorized, upon reasonable notice to the Commission, to pay from the receivership estate to himself and any person engaged or employed by him (including, without limitation, counsel, accountants, investment advisers, and such other consultants as he may determine to be necessary) a reasonable retainer to secure payment of the costs, free, and expenses of the receiver or such person engaged or employed by him, such retainer to be held pending approval of such costs, fees, and expenses by the Court.

V.

IT IS FURTHER ORDERED that the receiver and all persons whom he may engage or employ to assist him in carrying out his duties and obligations hereunder shall not be liable for any act or omission of the receiver or such person, or any of their partners, employees, or agents, unless it shall be proven that the receiver or such other person acted in bad faith. This provision shall apply to claims based on conduct during the term of any agreement that may be entered into between the receiver and any other person who may be engaged or employed by the receiver hereunder, even if such claims are filed after the termination of any such agreement.

The Defendants and Relief Defendants shall indennify, defend, and hold hamless the receiver and his law form and his or its against, employees, consultants, successors, and assigns, from and against all actions (pending or threatened and whether at law or in equity in any forum), liabilities, damages, losses, costs, and expenses, including but not limited to reasonable attempts' and other professionals' fees, arising from conduct or omission of the receiver or his law firm or his or its agents, employees, and consultants under the terms of this Order.

VI.

IT IS FURTHER ORDERED that the Defendants and Relief Defendants and their officers, directors, agents, servants, employees, and attorneys-in-fact shall:

- A. transfer forthwith to the receiver all assets of the Defendants and Relief

 Defendants.
- B. provide to the receiver all information requested relating to the past and present operations, activities and condition of the Defendants and Relief Defendants and shall take no action, directly or indirectly, to hinder, obstruct, dalay, or otherwise interfere in any manner with the actions of the receiver or any other person engaged or employed by the receiver to assist him in carrying out his duties and obligations herein; and
- C. provide to the receiver forthwith access to and the location of any and all documents and computer files and databases in their possession, custody, of control relating to the assets of the Defendants or the Relief Defendants or to the allegations of the Complaint, including but not limited to documents relating to the business operations of the Defendants or the Relief Defendants, the offer or sale of securities or commodity interest positions (fotures or options), and the use of investor funds.

VII.

IT IS FURTHER ORDERED that:

A. the Defendants, Relief Defendants, their creditors, officers, agents, servants, employees, attorneys-in-fact, and those persons in active concert or participation

APPROYE

with them who receive actual notice of this Order by paraonal service, facsimile service, or otherwise, are restrained and enjoined from filing a voluntary or involuntary petition in bankruptcy or any proceeding seeking liquidation on behalf of or against any of the Defendants or relief Defendants, without first seeking leave from this Court, with at least recenty-four (24) hours notice to the CFTC. Leave shall be granted only after a hearing before this Court and upon such conditions as necessary to protect this Court's jurisdiction over the subject matter of this action; and

B. no creditor of or claimant against any of the Defendants or Relief Defendants, or any person acting on behalf of such creditor or claimant, shall take any action to interfere with the taking control, possession, or management of the assets transferred to the receiver under this Order, nor interfere in any way with the exclusive jurisdiction of this Court over the receivership estate.

so ordened?

Report Carl

UNITED STATES DISTRICT JUDG

CONSENTED TO ON FEBRUARY 24, 2000:

Daniel X. Nathan (DN-7595)

Deputy Director, Division of Enforcement Commodity Futures Trading Commission

Three Lafayette Centre

1155 21 SL, NW

Washington, D.C. 20581

Telephone (202) 418-5314

Fex (202) 418-5531

Attorney for Plaintiff

ANDROYL AND

CONSENTED TO ON FEBRUARY 24, 2000

1951 Gulf Shore Boulevard North

Strine #203

Naples, Plorida 34103-3636

Telephone (941) 430-9120

Fex (941) 430-3960 Defendant Pro Se

David M. Mobley, Sr.

Principal with signing authority for each of the following Defendants and Relief Defendants:

MARICOPA INVESTMENT FUND, LID. MARICOPA INDEX HEDGE FUND, LTD. MARICOPA FINANCIAL CORPORATION

ENSIGN TRADING CORPORATION

MARICOPA INTERNATIONAL INVESTMEN CORP. d/b/s/ MARICOPA INVESTMENT CORP.

IAM INC.

MARICOPA ECLIPSE PARTNERS, LID

MARICOPA OVERSEAS LTD.

EPWORTH FINANCIAL, LTD.

MARICOPA CAPITAL MANAGEMENTE C

MOBLEY TRADING & INVESTMENT CORP.

D. MOBLEY INCORPORATED

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff

٧.

DAVID M. MOBLEY, SR.; MARICOPA INVESTMENT FUND, LTD.; MARICOPA INDEX HEDGE FUND, LTD.; MARICOPA FINANCIAL CORPORATION; ENSIGN TRADING CORPORATION; MARICOPA INTERNATIONAL INVESTMENT CORP. d/b/s MARICOPA INVESTMENT CORP.; and IAM INC.,

Defendants.

-end-

MARICOPA ECLIPSE PARTNERS, LTD.;
MARICOPA OVERSEAS LTD.; EPWORTH
FINANCIAL, LTD.; MARICOPA CAPITAL
MANAGEMENT L.C.; MOBLEY TRADING &
INVESTMENT CORP.; and D. MOBLEY
INCORPORATED.

Relief Defendants

No. 00 Civ. 1316 (RCC)

ORDER APPOINTING
RECEIVER ON CONSENT

OF DEC 17 F.: 1:59

SECRETARY OF STATE

TALLARASSEE, FLORIDA

I.

IT IS HEREBY ORDERED that OTTO 6. OBERTHIER, ESC. of the firm of WEIL GOTSHAL A THANGES ULP is appointed to act as receiver for defendants David M. Mobley, Sr., Maricopa Investment Fund, Ltd., Maricopa Index Hedge Fund, Ltd., Maricopa Financial Corporation, Ensign Trading Corporation, Maricopa International Investment Corp. d/b/a Maricopa Investment Corp., and IAM Inc. (the "Defendants"), and relief defendants Maricopa

APPROVED AND FILED Eclipse Partners, Ltd., Maricopa Overseas Ltd., Epworth Financial, Ltd., Maricopa Capital

Management L.C., Mobley Trading & Investment Corp., and D. Mobley Incorporated (the "Relief

Defendants"). The receiver is hereby empowered to:

- A. take and retain immediate possession, custody, and control of all assets and property and the books and records of the Defendants and Relief Defendants;
- B. take all steps he deems necessary to secure and protect the assets and property of the Defendants and Relief Defendants, including but not limited to the premises, files, and information systems of the Defendants and Relief Defendants:
- C. engage and employ persons, in his discretion, to assist him in carrying out his duties and responsibilities hereunder, including accountants, attorneys, securities traders, investment advisers, and other experts;
- D. acquire and retain all rights and powers that the Defendants and Relief Defendants have to manage, control, operate, and maintain their businesses (including but not limited to the power to direct, hire, suspend, and terminate personnel), and to possess, receive, or use income, earnings, rents, and profits to commence, maintain, defend, or participate in legal proceedings, to sue for, collect, receive and take into possession all goods, chattels, rights, general intangibles, choses in action, credits, monies, effects, lands, books and records of account, and other papers, including exclusive authority to make expenditures on behalf of the Defendants and Relief Defendants, with a view to preventing loss, damage, and injury to investors, and preserving assets and the records of the Defendants and Relief Defendants.
- E. Notwithstanding the asset freeze order entered by this Court on February 22,

2000, the receiver shall have the power to open and close bank accounts and securities accounts; to purchase or sell securities in any such account of the Defendants and Relief Defendants; and to execute checks or otherwise disburse money from those bank or securities accounts of the Defendants and Relief Defendants to pay their obligations in the normal course of business; except, however, that pending further order of the Court, neither the Defendants, the Relief Defendants, nor the receiver shall make any payment, distribution, or redemption to any investor in the Maricopa Investment Fund, Ltd., Maricopa Index Hedge Fund, Ltd., Maricopa Financial Corporation, or Ensign Trading Corporation;

- F. review the records and reports of transactions by the Defendants and Relief

 Defendants and immediately report to the SEC any transactions that appear not to
 be in the ordinary course of business;
- G. if appropriate, file for relief and protection under the Federal Bankruptcy Code, on behalf of the Defendants or Relief Defendants, after notice to all parties in this action; and
- H. take such further action as the Court shall deem equitable, just and appropriate a under the circumstances upon proper application.

П

IT IS FURTHER ORDERED that the receiver shall:

A. report to the Court and the parties within 30 days of the entry of this Order, subject to such extensions as may be granted in writing by the SEC or the Court,

the following information for each of the Defendants and Relief Defendants:

- 1. The amount of cash on hand; and
- 2. all monthly income and expenses; and
- B. report to the Court and the parties by May 31, 2000, subject to extensions as may be granted in writing by the SEC or the Court, the following information for each of the Defendants and Relief Defendants:
 - all assets, liabilities, money, funds, securities, and real or personal property currently held directly or indirectly by or for the benefit of the Defendants and Relief Defendants and each of their controlled, related, or affiliated entities, including but not limited to bank accounts, brokerage accounts, investments, business interests, and real and personal property, wherever situated, identifying and describing each asset and liability, its current location and amount;
 - all money, assets, funds, securities, and real or personal property received by the Defendants and Relief Defendants at any time from September 1.

 1992, to the date of the accounting, describing the source, amounts disposition and current location of each of the items listed;
 - 3. all money, assets, funds, securities, and real or personal property disbursed by any of the Defendants and Relief Defendants at any time from September 1, 1992, to the date of the accounting, describing the source, amount, and date of disbursement of each of the items listed; and
 - the names, last known addresses, and account-identifying information of all
 financial institutions, bailees, debtors and other persons and entities that are

currently holding any money, assets, funds, accurities, real or personal property for the direct or indirect benefit of the Defendants and Relief Defendants.

III.

IT IS FURTHER ORDERED that the receiver shall not be required to post any bond or security, provided however that if the receiver chooses to procure such a bond or security, the Defendants and Relief Defendants shall pay the reasonable costs and expenses thereof.

IV.

reasonable costs, fees, and expenses of the receiver incurred in connection with the performance of his respective duties described herein, including but not limited to the reasonable costs, fees, and expenses of all persons who may be engaged or employed by the receiver to assist him in carrying out his duties and obligations. All applications for costs, fees, and expenses of the receiver and those employed by him shall be made by application to the Court, setting forth in reasonable detail the nature of such costs, fees, and expenses. At least ten days before making such an application to the Court, the receiver shall submit the application to the SEC, which may advise the Court whether it has any objection. Upon approval of any such application by the Court, the receiver may pay himself and those employed by him from the assets of the Defendants and Relief Defendants under his control. Notwithstanding anything to the contrary in this paragraph, the receiver is authorized, upon reasonable notice to the Commission, to pay from the receivership estate to himself and any person engaged or employed by him (including, without limitation, counsel, accountants, investment advisers, and such other consultants as he may

determine to be necessary) a reasonable retainer to accure payment of the costs, fees, and expenses of the receiver or such person engaged or employed by him, such retainer to be held pending approval of such costs, fees, and expenses by the Court.

V.

employ to assist him in carrying out his duties and obligations hereunder shall not be liable for any act or omission of the receiver or such person, or any of their partners, employees, or agents, unless it shall be proven that the receiver or such other person acted in bad faith. This provision shall apply to claims based on conduct during the term of any agreement that may be entered into between the receiver and any other person who may be engaged or employed by the receiver hereunder, even if such claims are filed after the termination of any such agreement.

The Defendants and Relief Defendants shall indemnify, defend, and hold harmless the receiver and his law firm and his or its agents, employees, consultants, successors, and assigns, from and against all actions (pending or threatened and whether at law or in equity in any forum), liabilities, damages, losses, costs, and expenses, including but not limited to reasonable afterneys' and other professionals' fees, arising from conduct or omission of the receiver or his law firm of his or its agents, employees, and consultants under the terms of this Order.

VI

IT IS FURTHER ORDERED that the Defendants and Relief Defendants and their officers, directors, agents, servants, employees, and attorneys-in-fact shall:

A. transfer forthwith to the receiver all assets of the Defendants and Relief

Defendants

- B. provide to the receiver all information requested relating to the past and present operations, activities and condition of the Defendants and Relief Defendants and shall take no action, directly or indirectly, to hinder, obstruct, delay, or otherwise interfere in any manner with the actions of the receiver or any other person engaged or employed by the receiver to assist him in carrying out his duties and obligations herein; and
- C. provide to the receiver forthwith access to and the location of any and all documents and computer files and databases in their possession, custody, or control relating to the assets of the Defendants or the Relief Defendants or to the allegations of the Complaint, including but not limited to documents relating to the business operations of the Defendants or the Relief Defendants, the offer or sale of securities, and the use of investor funds.

VII.

IT IS FURTHER ORDERED that:

the Defendants, Relief Defendants, their creditors, officers, agents, servants, employees, attorneys-in-fact, and those persons in active concert or participation with them who receive actual notice of this Order by personal service, factimile service, or otherwise, are restrained and enjoined from filling a voluntary of involuntary petition in bankruptcy or any proceeding seeking liquidation on behalf of or against any of the Defendants or relief Defendants, without first seeking leave from this Court, with at least twenty-four (24) hours notice to the SEC. Leave shall be granted only after a hearing before this Court and upon such conditions as

necessary to protect this Court's jurisdiction over the subject matter of this action; and

B. no creditor of or claimant against any of the Defendants or Relief Defendants, or any person acting on behalf of such creditor or claimant, shall take any action to interfere with the taking control, possession, or management of the assets transferred to the receiver under this Order, nor interfere in any way with the exclusive jurisdiction of this Court over the receivership estate.

SO ORDEREDE

UNITED STATES DISTRICT HIDGE

CONSENTED TO ON FEBRUARY 24 2000:

David L. Komblau (DK-4518)

Assistant Chief Litigation Counsel
Securities and Exchange Commission

450 Fifth Street, N.W.

Washington, D.C. 20549-0808

Telephone (202) 942-4818

Fax (202) 942-9581

Attorney for Plaintiff

CONSENTED TO ON FEBRUARY 23, 2000

Jack M. Mobiey, Sr. 3951 Gulf Shore Boulevard North Suite #203

Naples, Florida 34103-3636 Telephone (941) 430-9120 Fax (941) 430-3960 Defendant Pro Se

Divid M. Mobley, Sr.

Principal with signing authority for each of the following Defendants and Relief Defendants:

MARICOPA INVESTMENT FUND, LTD.
MARICOPA INDEX HEDGE FUND, LTD.
MARICOPA FINANCIAL CORPORATION
ENSIGN TRADING CORPORATION
MARICOPA INTERNATIONAL INVESTMENT
CORP. 4664 MARICOPA INVESTMENT
CORP.

IAM INC.

MARICOPA ECLIPSE PARTNERS, LTD. MARICOPA OVERSEAS LTD.

EFWORTH FINANCIAL, LTD.

MARICOPA CAPITAL MANAGEMENT LE

MOBILEY TRADING & INVESTMENT CORP.

D. MOBLEY INCORPORATED

APPROVED

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