

**Electronic Articles of Organization
For
Florida Limited Liability Company**

L20000036585
FILED 8:00 AM
January 30, 2020
Sec. Of State
mlumpkin

Article I

The name of the Limited Liability Company is:
COMPLIANT RIA TECHNOLOGIES LLC

Article II

The street address of the principal office of the Limited Liability Company is:
3033 NW 7TH AVE.
SUITE C
MIAMI, FL. 33127

The mailing address of the Limited Liability Company is:
3033 NW 7TH AVE.
SUITE C
MIAMI, FL. 33127

Article III

Other provisions, if any:

A LEADING CLOUD BASED COMPLIANCE AND CUSTOMER RELATIONSHIP
MANAGEMENT SOFTWARE AS A SERVICE FOR REGISTERED INVESTMENT
ADVISORS (RIA).

Article IV

The name and Florida street address of the registered agent is:

K.J. MOODY
3033 NW 7TH AVE
SUITE C
MIAMI, FL. 33127

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Registered Agent Signature: K.J. MOODY

Article V

The name and address of person(s) authorized to manage LLC:

Title: CEO
K.J. MOODY
3033 NW 7TH AVE. SUITE C
MIAMI, FL. 33127 FL

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Article VI

The effective date for this Limited Liability Company shall be:

03/12/2020

Signature of member or an authorized representative

Electronic Signature: K.J. MOODY

I am the member or authorized representative submitting these Articles of Organization and affirm that the facts stated herein are true. I am aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. I understand the requirement to file an annual report between January 1st and May 1st in the calendar year following formation of the LLC and every year thereafter to maintain "active" status.