

L15000198677

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

~~WTS-14153~~

Office Use Only



300278592113

12/02/15--01003--002 \*\*25.00

11/03/15--01028--008 \*\*125.00

EFFECTIVE DATE  
12-11-15

2015 NOV 30 PM 12:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

DEC - 2 2015

COVER LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: CFA Financial, LLC  
(Name of Resulting Florida Limited Company)

The enclosed Articles of Conversion, Articles of Organization, and fees are submitted to convert an "Other Business Entity" into a "Florida Limited Liability Company" in accordance with s. 605.1045, F.S.

Please return all correspondence concerning this matter to:

Charles L. Moore

(Contact Person)

CFA Financial, LLC

(Firm/Company)

630 South Orange Avenue, Ste. 200-D

(Address)

Sarasota, FL 34236

(City, State and Zip Code)

charliecfa@aol.com

E-mail Address: (to be used for future annual report notifications)

For further information concerning this matter, please call:

Charles L. Moore

(Name of Contact Person)

at

(941) 960-2046

(Area Code)

or call 847-421-1733  
(Daytime Telephone Number)

Enclosed is a check for the following amount:

☒ \$150.00 Filing Fees  
(\$25 for Conversion  
& \$125 for Articles  
of Organization)

☐ \$155.00 Filing Fees  
and Certificate of  
Status

☐ \$180.00 Filing Fees  
and Certified Copy

☐ \$185.00 Filing Fees,  
Certified Copy, and  
Certificate of Status

→ \$125 check already paid - \$25 conversion fee enclosed  
check # 1349

STREET ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

CFA Financial, LLC  
630 South Orange Avenue  
Ste. 200-D  
Sarasota, FL 34236

November 19, 2015

Ms. Teresa Brown  
Regulatory Specialist II  
Florida Department of State  
Division of Corporations – Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

Dear Ms. Brown:

In your letter dated November 10, 2015 (copy attached) with respect to CFA Financial, LLC, Ref. Number: W15000074153, I have enclosed not only a copy of your letter, but the documents you requested I complete to meet the Florida Department of State filing requirements. These documents include the Cover Letter (1 page), Articles of Conversion For "Other Business Entity" Into Florida Limited Liability Company (2 pages), and Articles of Organization for Florida Limited Liability Company (2 pages). I have also enclosed check #1400 for \$25.00 for the Articles of Conversion Fee, and as you indicated on our recent conversion, you already have check #1349 in the amount of \$125.00 for the Florida Articles of Organization. Each of these checks are personal checks from me as the Registered Agent of CFA Financial, LLC. As noted on the Cover Letter, I can be contacted by mail at the office address, 630 South Orange Avenue, Ste. 200-D, Sarasota, FL 34236, or the office phone as 941-960-2046, or my personal cell at 847-421-1733.

I have noted the effective date as December 11, 2015, to ensure that there will be adequate time to complete the filing once you have received the enclosed materials. Thank you for attention to this matter.

Sincerely yours,



Charles Moore

Enclosures – Documents as Noted  
Check #1400



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

November 10, 2015

CHARLES L MOORE  
630 SOUTH ORANGE AVE, STE 200-D  
SARASOTA, FL 34236

SUBJECT: CFA FINANCIAL, LLC  
Ref. Number: W15000074153

We have received your document for CFA FINANCIAL, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

There is a balance due of \$25.00.

The enclosed document(s) does/do not meet our filing requirements. Therefore, we are enclosing our appropriate form(s) and/or instructions.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6052.

Teresa Brown  
Regulatory Specialist II

Letter Number: 715A00023802

Articles of Conversion  
For  
"Other Business Entity"  
Into  
Florida Limited Liability Company

FILED  
2015 NOV 30 PM 12:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

The Articles of Conversion and attached Articles of Organization are submitted to convert the following "Other Business Entity" into a Florida Limited Liability Company in accordance with s.605.1045, Florida Statutes.

1. The name of the "Other Business Entity" immediately prior to the filing of the Articles of Conversion is:

CFA Financial, LLC

(Enter Name of Other Business Entity)

**EFFECTIVE DATE**  
12-11-15

2. The "Other Business Entity" is a

Limited Liability Corporation

(Enter entity type. Example: corporation, limited partnership, general partnership, common law or business trust, etc.)

First organized, formed or incorporated under the laws of

Illinois

(Enter state, or if a non-U.S. entity, the name of the country)

on December 1, 2014

(date of organization, formation or incorporation)

3. The name of the Florida Limited Liability Company as set forth in the attached Articles of Organization:

CFA Financial, LLC

(Enter Name of Florida Limited Liability Company)

4. If not effective on the date of filing, enter the effective date:

December 11, 2015

(The effective date: 1) cannot be prior to date of receipt or filed date nor more than 90 days after the date this document is filed by the Florida Department of State; AND 2) must be the same as the effective date listed in the attached Articles of Organization, if an effective date is listed therein.)

**Note:** If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

5. The plan of conversion has been approved in accordance with all applicable statutes.

Signed this 19th day of November 2015.

**Signature of Authorized Representative of Limited Liability Company:**

Signature of Authorized Representative: [Signature]  
Printed Name: Charles L. Moore Title: CEO

**Signature(s) on behalf of Other Business Entity: [See below for required signature(s)]**

Signature: [Signature]  
Printed Name: Charles L. Moore Title: CEO / 75% Owner

Signature: [Signature]  
Printed Name: Timothy F. McCarthy Title: Chief Compliance Officer / 25% Owner

Signature: \_\_\_\_\_  
Printed Name: \_\_\_\_\_ Title: \_\_\_\_\_

Signature: \_\_\_\_\_  
Printed Name: \_\_\_\_\_ Title: \_\_\_\_\_

Signature: \_\_\_\_\_  
Printed Name: \_\_\_\_\_ Title: \_\_\_\_\_

Signature: \_\_\_\_\_  
Printed Name: \_\_\_\_\_ Title: \_\_\_\_\_

**If Florida Corporation:**

Signature of Chairman, Vice Chairman, Director, or Officer.  
If Directors or Officers have not been selected, an Incorporator must sign.

**If Florida General Partnership or Limited Liability Partnership:**

Signature of one General Partner.

**If Florida Limited Partnership or Limited Liability Limited Partnership:**

Signatures of ALL General Partners.

**All others:**

Signature of an authorized person.

**Fees:**

Articles of Conversion:	\$25.00 ✓
Fees for Florida Articles of Organization:	\$125.00 ✓
Certified Copy:	\$30.00 (Optional)
Certificate of Status:	\$5.00 (Optional)

## ARTICLES OF ORGANIZATION FOR FLORIDA LIMITED LIABILITY COMPANY

### ARTICLE I - Name:

The name of the Limited Liability Company is:

CFA Financial, LLC

(Must end with the words "Limited Liability Company, "L.L.C.," or "LLC.")

### ARTICLE II - Address:

The mailing address and street address of the principal office of the Limited Liability Company is:

#### Principal Office Address:

630 South Orange Avenue  
Ste. 200-D  
Sarasota, FL 34236

#### Mailing Address:

630 South Orange Avenue  
Ste. 200-D  
Sarasota, FL 34236

### ARTICLE III - Registered Agent, Registered Office, & Registered Agent's Signature:

(The Limited Liability Company cannot serve as its own Registered Agent. You must designate an individual or another business entity with an active Florida registration.)

The name and the Florida street address of the registered agent are:

Charles L. Moore

Name

492 Partridge Circle

Florida street address (P.O. Box **NOT** acceptable)

Sarasota

FL

34236

City

Zip

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S..*



Registered Agent's Signature (REQUIRED)

(CONTINUED)

**ARTICLE IV-**

The name and address of each person authorized to manage and control the Limited Liability Company:

**Title:**

"AMBR" = Authorized Member

"MGR" = Manager

AMBR

**Name and Address:**

Charles L. Moore  
492 Partridge Circle  
Sarasota, FL 34236

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(Use attachment if necessary)

**ARTICLE V:** Effective date, if other than the date of filing: December 11, 2015 (OPTIONAL)

(If an effective date is listed, the date must be specific and cannot be more than five business days prior to or 90 days after the date of filing.)

**Note:** If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

**ARTICLE VI:** Other provisions, if any.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**REQUIRED SIGNATURE:**



**Signature of a member or an authorized representative of a member.**

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes.  
I am aware that any false information submitted in a document to the Department of State  
constitutes a third degree felony as provided for in s.817.155, F.S.

Charles L. Moore

Typed or printed name of signee

**Filing Fees**

**\$125.00 Filing Fee for Articles of Organization and Designation of Registered Agent**  
**\$ 30.00 Certified Copy (Optional)      \$ 5.00 Certificate of Status (Optional)**