

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# L10000038006

FILED
Jan 23, 2012
Secretary of State

Entity Name: CARTER VALIDUS BROKER DEALER INVESTMENT MANAGEMENT COMPANY, LLC

Current Principal Place of Business:

4211 W BOY SCOUT BLVD
STE 500
TAMPA, FL 33607

New Principal Place of Business:

Current Mailing Address:

4211 W BOY SCOUT BLVD
STE 500
TAMPA, FL 33607

New Mailing Address:

FEI Number: 90-0547746

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

DRUMMOND, LISA A
4211 W BOY SCOUT BLVD
STE 500
TAMPA, FL 33607 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR
Name: CARTER, JOHN E
Address: 4211 W BOY SCOUT BLVD - STE 500
City-St-Zip: TAMPA, FL 33607

Title: MGR
Name: GARCIA, MARIO JR
Address: 3504 CRAGMANT DR - STE 100
City-St-Zip: TAMPA, FL 33619

Title: MGR
Name: LEVEY, MARK
Address: 1731 S KINGS AVE
City-St-Zip: BRANDON, FL 33511

Title: MGR
Name: WINSLOW, ROBERT M
Address: 8 ISLE OF SICILY
City-St-Zip: WINTER PARK, FL 32789

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: JOHN E. CARTER

MGR

01/23/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date