

2011 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# L10000038006

FILED
Mar 16, 2011
Secretary of State

Entity Name: CARTER VALIDUS BROKER DEALER INVESTMENT MANAGEMENT COMPANY, LLC

Current Principal Place of Business:

4211 W BOY SCOUT BLVD
STE 520
TAMPA, FL 33607

New Principal Place of Business:

4211 W BOY SCOUT BLVD
STE 500
TAMPA, FL 33607

Current Mailing Address:

4211 W BOY SCOUT BLVD
STE 520
TAMPA, FL 33607

New Mailing Address:

4211 W BOY SCOUT BLVD
STE 500
TAMPA, FL 33607

FEI Number: 27-2200226

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired (X)

Name and Address of Current Registered Agent:

DRUMMOND, LISA A
4211 W BOY SCOUT BLVD
STE 520
TAMPA, FL 33607 US

Name and Address of New Registered Agent:

DRUMMOND, LISA A
4211 W BOY SCOUT BLVD
STE 500
TAMPA, FL 33607 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: LISA A. DRUMMOND

03/16/2011

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR
Name: CARTER, JOHN E
Address: 4211 W BOY SCOUT BLVD - STE 500
City-St-Zip: TAMPA, FL 33607

Title: MGR
Name: GARCIA, MARIO JR
Address: 3504 CRAGMANT DR - STE 100
City-St-Zip: TAMPA, FL 33619

Title: MGR
Name: LEVEY, MARK
Address: 1731 S KINGS AVE
City-St-Zip: BRANDON, FL 33511

Title: MGR
Name: WINSLOW, ROBERT M
Address: 8 ISLE OF SICILY
City-St-Zip: WINTER PARK, FL 32789

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: JOHN E. CARTER

MGR

03/16/2011

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date