

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# L09000069168

FILED
Jan 08, 2012
Secretary of State

Entity Name: 401 (K) CERTIFIED INDEPENDENT INVESTMENT ADVISORS LLC

Current Principal Place of Business:

4700 NW BOCA RATON BLVD.
#301
BOCA RATON, FL 33431 US

New Principal Place of Business:

7777 GLADES RD.
#100
BOCA RATON, FL 33434 US

Current Mailing Address:

4700 NW BOCA RATON BLVD.
#301
BOCA RATON, FL 33431 US

New Mailing Address:

7777 GLADES RD.
#100
BOCA RATON, FL 33434 US

FEI Number: 27-0585256

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

FREEDMAN, CRAIG L
4700 NW. BOCA RATON BLVD.
#301
BOCA RATON, FL 33431 US

Name and Address of New Registered Agent:

FREEDMAN, CRAIG L
7777 GLADES RD.
#100
BOCA RATON, FL 33434 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: CRAIG L. FREEDMAN

01/08/2012

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM
Name: FREEDMAN, CRAIG
Address: 7777 GLADES RD. STE. 100
City-St-Zip: BOCA RATON, FL 33434 US

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: CRAIG L. FREEDMAN

MGRM

01/08/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date