2010 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# L08000021246

FILED Mar 26, 2010 Secretary of State

Entity Name: PROVENANCE WEALTH ADVISORS SECURITIES, LLC

Current Principal Place of Business: New Principal Place of Business:

200 SOUTH BISCAYNE BLVD., 6TH FLOOR MIAMI, FL 33131

Current Mailing Address: New Mailing Address:

200 SOUTH BISCAYNE BLVD., 6TH FLOOR MIAMI, FL $\,$ 33131

FEI Number: 30-0513207 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()

Name and Address of Current Registered Agent: Name and Address of New Registered Agent:

BERKOWITZ, RICHARD A 200 SOUTH BISCAYNE BLVD., 6TH FLOOR MIAMI, FL 33131 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR

Name: HEDIGER, LEE F

Address: 200 S BISCAYNE BLVD - 6TH FLOOR

City-St-Zip: MIAMI, FL 33131

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statues.

SIGNATURE: LEE F. HEDIGER MGR 03/26/2010