2011 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# L04000017232

Entity Name: AMBATA SECURITIES, LLC

FILED Mar 16, 2011 Secretary of State

Current Principal Place of Business: New Principal Place of Business:

1201 PEACHTREE STREET NE
BUILDING 400, SUITE 540
ATLANTA, GA 30361

370 LEXINGTON AVE
SUITE 2600
NEW YORK, NY 10017

Current Mailing Address: New Mailing Address:

1201 PEACHTREE STREET NE 370 LEXINGTON AVE BUILDING 400, SUITE 540 SUITE 2600 NEW YORK, NY 10017

FEI Number: 65-1219078 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()

Name and Address of Current Registered Agent: Name and Address of New Registered Agent:

IVAN, MICHAEL J JR, ESQ ONE INDEPENDENT DRIVE, SUITE 3131 JACKSONVILLE, FL 32202 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MR.

Name: PHILIPP, TRENT E

Address: 330 WEST END AVENUE APT 1B City-St-Zip: NEW YORK, NY 10023

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statues.

SIGNATURE: TRENT PHILIPP MR 03/16/2011