

F99000005701

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

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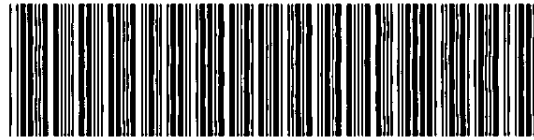
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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FLORIDA FILING & SEARCH SERVICES, INC.

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155 Office Plaza Dr Ste A Tallahassee FL 32301
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DATE: 10-06-15

**NAME: HILLTOP SECURITIES INDEPENDENT NETWORK INC
FKA SWS FINANCIAL SERVICES, INC.**

TYPE OF FILING: ARTICLES OF AMENDMENT

COST: 35.00 + 8.75

RETURN: CERTIFIED COPY PLEASE

ACCOUNT: FCA000000015

AUTHORIZATION: ABBIE/PAUL HODGE

Abbie Hodge

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15 OCT -5 AM 4:24
15 OCT -5 AM 1:53
TALLAHASSEE, FL
CLERK OF COURT

COVER LETTER

TO: Amendment Section
Division of Corporations

SUBJECT: SWS Financial Services, Inc.
Name of Corporation

DOCUMENT NUMBER: F99000005701

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Capitol Services – Corporate Filings Team
Name of Contact Person

Capitol Services, Inc.
Firm/Company

800 Brazos Ste 400
Address

Austin TX 78701
City/State and Zip Code

leslie.girvin@swst.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Leslie Girvin at (214) 859-1583
Name of Contact Person Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

- | | | | |
|---|---|--|---|
| <input type="checkbox"/> \$35.00 Filing Fee | <input type="checkbox"/> \$43.75 Filing Fee & Certificate of Status | <input type="checkbox"/> \$43.75 Filing Fee & Certified Copy (Additional copy is enclosed) | <input type="checkbox"/> \$52.50 Filing Fee, Certificate of Status & Certified Copy (Additional copy is enclosed) |
|---|---|--|---|

Mailing Address:
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:
Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

15 OCT -5 AM 11:24
FBI

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

F99000005701

(Document number of corporation (if known))

1. SWS Financial Services, Inc.

(Name of corporation as it appears on the records of the Department of State)

2. Texas

(Incorporated under laws of)

3. 11/03/1999

(Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? October 5, 2015

5. Hilltop Securities Independent Network Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

Allen R. Tubb

(Signature of a director, president or other officer - if in the hands
of a receiver or other court appointed fiduciary, by that fiduciary)

Allen R. Tubb

(Typed or printed name of person signing)

Secretary

(Title of person signing)

15 OCT -5 AM 1:24

FILED

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Carlos H. Cascos
Secretary of State

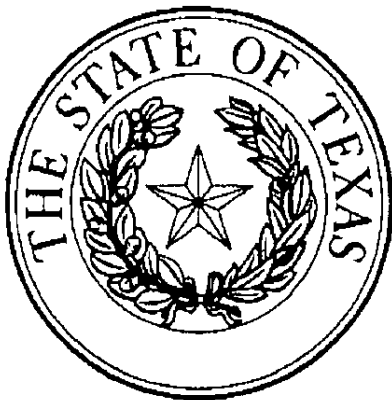
Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that on September 16, 2015, SWS FINANCIAL SERVICES, INC., a Domestic For-Profit Corporation (file number 63828000), changed its name to Hilltop Securities Independent Network Inc..

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on October 05, 2015.



A handwritten signature in black ink, appearing to read "Cascos", followed by a horizontal line.

Carlos H. Cascos
Secretary of State