

# **2011 FOR PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F99000005701

**FILED**  
**Apr 26, 2011**  
**Secretary of State**

**Entity Name:** SWS FINANCIAL SERVICES, INC.

**Current Principal Place of Business:**

1201 ELM STREET  
SUITE 3500  
DALLAS, TX 75270

**New Principal Place of Business:**

**Current Mailing Address:**

1201 ELM STREET  
SUITE 3500  
DALLAS, TX 75270

**New Mailing Address:**

**FEI Number:** 75-1653811

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND RD  
PLANTATION, FL 33324 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**OFFICERS AND DIRECTORS:**

Title: T  
Name: HODGES, STACY M  
Address: 1201 ELM STREET, SUITE 3500  
City-St-Zip: DALLAS, TX 75270 US

Title: DP  
Name: ROSS, JAMES H  
Address: 1201 ELM STREET, SUITE 3500  
City-St-Zip: DALLAS, TX 75270 US

Title: SD  
Name: TUBB, ALLEN R  
Address: 1201 ELM STREET, SUITE 3500  
City-St-Zip: DALLAS, TX 75270 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ALLEN R. TUBB

SECR

04/26/2011

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

\_\_\_\_\_  
Date