## 2007 FOR PROFIT CORPORATION ANNUAL REPORT

## **DOCUMENT #F99000005701** FILED 1. Entity Name 07 MAY 14 AM 7:54 SWS FINANCIAL SERVICES, INC. STATE 141. 1 USSEE, FLORIDA Principal Place of Business Mailing Address 1201 ELM STREET 1201 ELM STREET **SUITE 3500 SUITE 3500** DALLAS, TX 75270 DALLAS, TX 75270 2. Principal Place of Business - No P.O. Box # 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. CR2E034 (12/06) 05082007 Applied For City & State City & State 4. FEI Number NOT APPLICABLE Not Applicable Zip Country Zip Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent CT CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 SOUTH PINE ISLAND RD PLANTATION, FL 33324 City Zip Code FL 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent. Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) 9. Election Campaign Financing FILE NOW!!! FEE IS \$550.00 \$5.00 May Be Trust Fund Contribution Added to Fees Due by September 14, 2007 ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 OFFICERS AND DIRECTORS 10. 11. Delete Addition TITLE ☐ Change TITLE HODGES, STACY M NAME NAME STREET ADDRESS 1201 ELM STREET, SUITE 3500 STREET ADDRESS CITY ST-ZIP DALLAS, TX 75270 CITY-ST-ZIP D/P ☐ Addition TITLE ☐ Delete TITLE ROSS, JAMES H NAME 1201 ELM STREET, SUITE 3500 STREET ADDRESS STREET ADDRESS 00CITY-S1-ZIP DALLAS, TX 75270 CHY ST-ZIP D Delete TITLE ☐ Addition TITLE FELDER, WILLIAM D NAME NAME 1201 ELM STREET, SUITE 3500 STREET ADDRESS STREET ADDRESS DALLAS, TX 75270 CITY ST-ZIP CITY-ST-ZIP ✓ Delete TITLE VΡ TITLE ☐ Change Addition HODGES, DOUG NAME NAME STREET ADDRESS STREET ADDRESS 1201 ELM STREET, SUITE 3500 CITY-ST-ZIP DALLAS, TX 75270 CITY-ST-ZIP ☐ Addition Delete TITLE Change Change TITLE TUBB, ALLEN R NAME NAME STREET ADDRESS 1201 ELM STREET, SUITE 3500 STREET ADDRESS DALLAS, TX 75270 CITY-ST ZIP CITY-ST-7IP ☐ Delete ☐ Change ☐ Addition TITLE TITLE NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP 12. Thereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

Allen R. Tubb

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