

2006 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT # F99000005701

1. Entity Name
SWS FINANCIAL SERVICES, INC.



FILED

06 JUN 19 PM 3:13

Principal Place of Business
1201 ELM STREET
SUITE 3500
DALLAS, TX 75270

Mailing Address
1201 ELM STREET
SUITE 3500
DALLAS, TX 75270

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

05312006

Chg-P

CR2E034 (11/05)

06



4. FEI Number
NOT APPLICABLE

Applied For
Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND RD
PLANTATION, FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature of officer or director of registered agent and the filer (applicable)

(NOTE: Registered Agent signature required when registering)

DATE

**FILE NOW!!! FEE IS \$550.00
Due by September 6, 2006**

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE	TV	☐ Delete
NAME	Stacy M. Hodges	
STREET ADDRESS	1201 Elm Street, Suite 3500	
CITY ST ZIP	Dallas, TX 75270P/	
TITLE	D/P	☐ Delete
NAME	James H. Ross	
STREET ADDRESS	1201 Elm Street, Suite 3500	
CITY ST ZIP	Dallas, TX 75270	
TITLE	D	☐ Delete
NAME	William D. Felder	
STREET ADDRESS	1201 Elm Street, Suite 3500	
CITY ST ZIP	Dallas, TX 75270	
TITLE	V	☐ Delete
NAME	Doug Hodges	
STREET ADDRESS	1201 Elm Street, Suite 3500	
CITY ST ZIP	Dallas, TX 75270	
TITLE	S	☐ Delete
NAME	Allen R. Tubb	
STREET ADDRESS	1201 Elm Street, Suite 3500	
CITY ST ZIP	Dallas, TX 75270	
TITLE		☐ Delete
NAME		
STREET ADDRESS		
CITY ST ZIP		

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY ST ZIP		
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY ST ZIP		
TITLE		☐ Change ☐ Addition
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NAME		
STREET ADDRESS		
CITY ST ZIP		
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY ST ZIP		

500076537235
06/23/06--01064--001 **550.00

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with another like empowered.

SIGNATURE:

Allen R. Tubb

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

6-7-06

214-859-6629

Date

Daytime Phone #