

2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # F99000005701

1. Entity Name

SWS FINANCIAL SERVICES, INC.

FILED
May 01, 2000 8:00 am
Secretary of State

05-01-2000 90460 001 ***150.00

Principal Place of Business

7001 PRESTON ROAD, SUITE 300, LB 30
DALLAS TX 75205

Mailing Address

7001 PRESTON ROAD, SUITE 300, LB 30
DALLAS TX 75205-1185

2. Principal Place of Business

7001 Preston Road

Suite, Apt. #, etc.

Suite 300 LB 30

City & State

Dallas TX

Zip

75205

Country

USA

3. Mailing Address

7001 Preston Road

Suite, Apt. #, etc.

Suite 300 LB 30

City & State

Dallas TX

Zip

75205-1185

Country

USA



DO NOT WRITE IN THIS SPACE

4. FEI Number

NOT APPLICABLE

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

LOWE, KENNETH JR
1434 WEST FAIRBANKS AVENUE
WINTER PARK FL 32789

7. Name and Address of New Registered Agent

Name

NA

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

TITLE ☐ Delete
NAME D
STREET ADDRESS PEDEN, SUE
CITY-ST-ZIP 7001 PRESTON ROAD, SUITE 300, LB 30
DALLAS TX 75205

TITLE ☐ Delete
NAME D
STREET ADDRESS GLADSTEIN, DAVID
CITY-ST-ZIP 1201 ELM STREET, SUITE 3500
DALLAS TX 75270

TITLE ☐ Delete
NAME D
STREET ADDRESS FELDER, WILLIAM
CITY-ST-ZIP 1201 ELM STREET, SUITE 3500
DALLAS TX 75270

TITLE ☐ Delete
NAME P
STREET ADDRESS BOSWELL, DIANA
CITY-ST-ZIP 7001 PRESTON ROAD, SUITE 300, LB 30
DALLAS TX 75205

TITLE ☐ Delete
NAME V
STREET ADDRESS SCOTT, DIANE
CITY-ST-ZIP 7001 PRESTON ROAD, SUITE 300, LB 30
DALLAS TX 75205

TITLE ☐ Delete
NAME ST
STREET ADDRESS HART, BARBARA
CITY-ST-ZIP 1201 ELM STREET, SUITE 3500
DALLAS TX 75270

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☒ Change ☐ Addition
NAME CEO & Director

NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
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CITY-ST-ZIP

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CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Diane L. Scott, V.P.
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/99)

4-24-00 (214) 443-0189