

F99000005701

Florida Department of State

Division of Corporations

Public Access System

Katherine Harris, Secretary of State

Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H99000026471 5)))

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:

Division of Corporations

Fax Number : (850) 922-4003

From:

Account Name : SWS FINANCIAL SERVICES, INC.

Account Number : I19990000236

Phone : (214) 443-0189

Fax Number : (214) 443-0279

FILED

99 NOV -3 PM 4:12

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

RECEIVED

99 NOV -3 PM 4:04

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FOREIGN PROFIT QUALIFICATION

SWS Financial Services, Inc.

Certificate of Status	1
Certified Copy	1
Page Count	01
Estimated Charge	\$87.50

F99-5701

Name	OR 11-3
Availability	
Document	
Examiner	
Reviewer	
Updater	
Verifier	
Acknowledgment	
W. P. Verifier	

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section
Division of Corporations

SUBJECT: SWS Financial Services, Inc.
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Diane R. Scott
(Name of Person)

SWS Financial Services, Inc.
(Firm/Company)

7001 Preston Rd., Suite 300, LB 30
(Address)

Dallas, TX 75205
(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Diane Scott at (214) 443-0189
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

99 NOV - 3 PM 4:12

FILED

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: Sue Peden

Address: 7001 Preston Rd. Suite 300, LB 30
Dallas, TX 75205

Director: David Gladstein, William Felder

Address: 1201 Elm Street Suite 3500
Dallas, TX 75270

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: Diana Boswell

Address: 7001 Preston Rd. Suite 300, LB 30
Dallas, TX 75205

Vice President: Diane Scott

Address: 7001 Elm Street Suite 300, LB 30
Dallas, TX 75205


Secretary: Barbara Hart

Address: 1201 Elm Street Suite 3500
Dallas, TX 75270

Treasurer: Barbara Hart

Address: 1201 Elm Street Suite 3500
Dallas, TX 75270

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Diana L. Boswell, President
(Typed or printed name and capacity of person signing application)

FILED
99 NOV -3 PM 4:12
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. SWS Financial Services, Inc.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Texas

(State or country under the law of which it is incorporated)

3.

N/A

(FEI number, if applicable)

4. January 7, 1983

(Date of incorporation)

5.

Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. October 1999

(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 7001 Preston Road, Suite 300, LB 30

Dallas, TX 75205

(Current mailing address)

8. Setup a branch location.

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: Kenneth Lowe, Jr.

Office Address: 1434 West Fairbanks Ave.

Winter Park

, Florida, 32789

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Kenneth Lowe, Jr.
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

99 NOV -3 PM 4:12

FILED



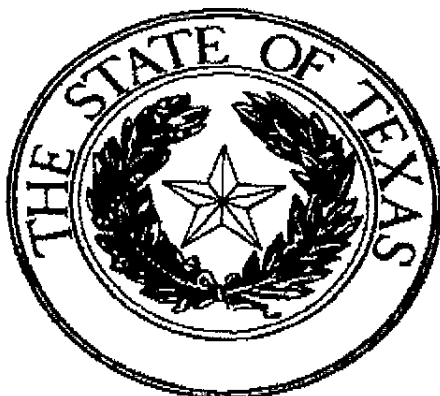
The State of Texas

SECRETARY OF STATE

IT IS HEREBY CERTIFIED that
Articles of Incorporation of

SWS FINANCIAL SERVICES, INC.
File No. 638280-0

were filed in this office and a certificate of incorporation was issued to this corporation,
and no certificate of dissolution is in effect and the corporation is currently in existence.



*IN TESTIMONY WHEREOF, I have hereunto
signed my name officially and caused to be
impressed hereon the Seal of State at my office in
the City of Austin, on October 20, 1999.*

 DLM

Elton Bomer
Secretary of State