

F99000005480
TRANSMITTAL LETTER

To: Registration Section
Division of Corporations

SUBJECT: TRX FUTURES LIMITED

(Name of corporation - must include suffix)

Dear Sir or Madam:

700003018237--5
-10/19/99-01040--009
*****70.00 *****70.00

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",
"Certificate of Existence", and check are submitted to register the above referenced foreign corporation to
transact business in Florida.

Please return all correspondence concerning this matter to the following:

Jeffrey A. Bernstein, Esq.

(Name of Person)

Bernstein & Berger, P.A.

(Firm/Company)

100 N. Biscayne Blvd., Suite 2608

(Address)

Miami, FL 33132

(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Jeffrey A. Bernstein

(Name of Person)

at (305) 371-4555

(Area Code & Daytime Telephone Number)

STREET ADDRESS:

Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

92

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. TRX FUTURES, INC.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. New York 3. 13-3922195
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 10/22/96 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. Upon qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. a. 1001 Brickell Key Drive, Suite 1610, Miami, FL 33131
(Principal office address)
- b. 1001 Brickell Key Drive, Suite 1610, Miami, FL 33131
(Current mailing address)
8. any lawful act or activity permitted by law
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)

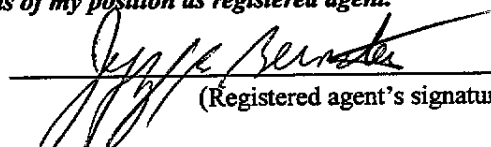
Name: Jeffrey A. Bernstein, Esq.

Office Address: 100 N. Biscayne Blvd., Suite 2608
Miami, Florida 33132
(Zip code)

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10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Jens Sorgenfrei

Newumann Gruppe (gmbH)
Address: Am Sandtorkai 4
20457 Hamburg
Germany

DIRECTOR:

~~Vice Chairman~~ Carolyn A. Reilly

TRX Futures Limited
Address: Michael House

35 Chiswell Street
London EC1Y 4SE, England

Gary Herbert

TRX Futures Limited

Address: Michael House

35 Chiswell Street
London EC1Y 4SE, England

Director: Stephen J. Coughlan

TRX Futures Limited

Address: Michael House

35 Chiswell Street
London EC1Y 4SE

David Carter

TRX Futures Limited

Address: Michael House

35 Chiswell Street
London EC1Y 4SE

Director:

Address:

B. OFFICERS

President/Chief Executive Officer : Gary Herbert

Address: TRX Futures, Inc.
1001 Brickell Bay Drive, Suite 1610
Miami, FL 33131

~~Vice President:~~

~~Address:~~

Secretary: David Carter

TRX Futures, Ltd.

Address: Michael House, 35 Shiswell Street
London EC1Y rSE

Treasurer: David Carter

Address: 1001 Brickell Bay Drive, Suite 1610

Miami, FL 33131

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Gary Herbert/Director

(Typed or printed name and capacity of person signing application)

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FLORIDA
TALLAHASSEE, FLORIDA

State of New York } ss:
Department of State

I hereby certify, that the certificate of incorporation of TRX FUTURES, INC. was filed on 10/22/1996, with perpetual duration, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

The Biennial Statement is past due.

I further certify, that no other certificates have been filed by such corporation.



Witness my hand and the official seal
of the Department of State at the City
of Albany, this 30th day of September
one thousand nine hundred and
ninety-nine.

Special Deputy Secretary of State

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