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TRANSMITTAL LETTER

To: Qualification/Tax Lien Section
Division of Corporations

SUBJECT: The Providence Group Investment Advisory Company, Inc.
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",
"Certificate of Existence", and check are submitted to register the above referenced foreign corporation
to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Mary Kay Lyons

(Name of Person)

The Providence Group Investment Advisory Company Inc.
(Firm/Company)

200 Turks Head Place

(Address)

Providence, RI 02903

(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Mary Kay Lyons

(Name of Person)

at (401) 331-6250

(Area Code & Daytime Telephone Number)

STREET ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☒ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

SECRETARY OF STATE
TALLAHASSEE FLORIDA

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The Providence Group Investment Advisory Company, LLC

INCORPORATED IN THE STATE OF RHODE ISLAND

H. JAMES FIELD, JR.
SCOTT B. LAURANS

DIANA L. JOHNSON

200 TURKS HEAD PLACE
PROVIDENCE, RHODE ISLAND 02903-2253
TELEPHONE (401) 331-6250
FAX (401) 331-7014
E-MAIL: PROVGROUP@AOL.COM

5065 NORTH A1A
VERO BEACH, FLORIDA 32963
TELEPHONE (800) 668-6624
FAX (561) 234-7580

August 30, 1999

Florida Department of State
Qualification / Tax Lien Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

Dear Sir or Madam:

Enclosed please find the following items related to the application of The Providence Group Investment Advisory Company, Inc. to conduct business in Florida:

- 1) Transmittal letter and completed application
- 2) Current Certificate of Record and Good Standing from the State of Rhode Island
- 2) Check payable to the Florida Department of State for \$78.75.

Please let me know if you need any further information.

Sincerely,

Mary Kay Lyons
Vice President
Finance and Administration

*no conflict
mgs-1474*

Spqr

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. The Providence Group Investment Advisory Company, Inc.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Rhode Island
(State or country under the law of which it is incorporated)
3. 05-0506526
(FEI number, if applicable)
4. 7-30-99
(Date of incorporation)
5. Perpetual
(Duration: Year corp. will cease to exist or "perpetual")
6. 8-1-99
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 200 Turks Head Place
Providence, RI 02903
(Current mailing address)
8. Investment Advisory
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)
Name: H. James Field, Jr.
Office Address: 5065 North A1A
Vero Beach, Florida, 32963
(Zip code)

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TALLAHASSEE FLORIDA

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

H. J. Field
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: H. James Field, Jr.

Address: 129 Benefit Street, Unit #3
Providence, RI 02903

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: Scott B. Laurans

Address: 35 Barberry Hill

Providence, RI 02906

Vice President: Diana L. Johnson

Address: 102 Williams Street

Providence, RI 02906

Secretary: _____

Address: _____

Treasurer: Mary Kay Lyons

Address: 46 Eastwick Road

North Kingstown, RI 02852

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NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. H. James Field, Jr.
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. H. James Field, Jr. - Chairman

(Typed or printed name and capacity of person signing application)



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State

The Office of the Secretary of State of the State of Rhode Island and Providence Plantations, HEREBY CERTIFIES, that

The Providence Group Investment Advisory Company, Inc.

a Rhode Island corporation, filed original articles of incorporation in this office on the thirtieth day of July A.D., 1999; and

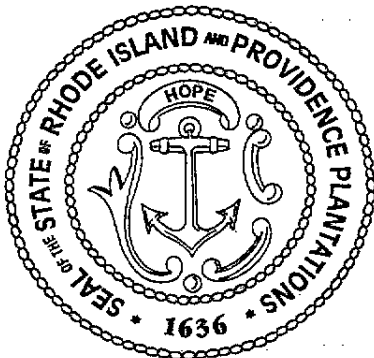
IT IS FURTHER CERTIFIED that said corporation is now of record and in good standing in this office.

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SECRETARY OF STATE
TALLAHASSEE FLORIDA

SIGNED AND SEALED this twenty-sixth
day of August A.D., 1999.

James R. Langevin

Secretary of State



BY *Debra Antonelli*