

2005 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F99000004113

FILED
Jun 30, 2005
Secretary of State

Entity Name: WM SMITH SECURITIES, INCORPORATED

Current Principal Place of Business:

1700 LINCOLN STREET, SUITE 3030
DENVER, CO 80203

New Principal Place of Business:

1700 LINCOLN STREET, SUITE 2545
DENVER, CO 80203

Current Mailing Address:

1700 LINCOLN STREET, SUITE 3650
DENVER, CO 80203

New Mailing Address:

1700 LINCOLN STREET, SUITE 2545
DENVER, CO 80203

FEI Number: 84-1209744

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

LEXIS DOCUMENT SERVICES, INC.
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

In accordance with s. 607.193(2)(b), F.S., the corporation did not receive the prior notice.

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: P () Delete
Name: SMITH, WILLIAM S
Address: 1700 LINCOLN STREET, SUITE 3030
City-St-Zip: DENVER, CO 80203

Title: V () Delete
Name: PALEY, ROERT L
Address: 1700 LINCOLN STREET, SUITE 3030
City-St-Zip: DENVER, CO 80203

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: PRES (X) Change () Addition
Name: SMITH, WILLIAM S
Address: 1700 LINCOLN STREET, SUITE 2545
City-St-Zip: DENVER, CO 80203

Title: VP (X) Change () Addition
Name: BENNEWITZ, SCOTT A
Address: 1700 LINCOLN STREET, SUITE 2545
City-St-Zip: DENVER, CO 80203

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: SCOTT A. BENNEWITZ

VP

06/30/2005

Electronic Signature of Signing Officer or Director

Date