

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
May 28, 2002 8:00 am
Secretary of State

05-28-2002 91625 025 ***150.00

DOCUMENT # F99000004113

1. Entity Name

WM SMITH SECURITIES, INCORPORATED

Principal Place of Business

**1700 LINCOLN STREET, SUITE 3650
 DENVER CO 80203**

Mailing Address

**1700 LINCOLN STREET, SUITE 3650
 DENVER CO 80203**

436033

2. Principal Place of Business

1700 Lincoln Street

3. Mailing Address

1700 Lincoln Street

Suite, Apt. #, etc.

Suite 3030

Suite, Apt. #, etc.

Suite 3030

City & State

Denver, CO 80203

City & State

Denver CO 80203

Zip

80203

Country

USA

Zip

80203

Country

USA

4. FEI Number

84-1209744

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

**LEXIS DOCUMENT SERVICES, INC.
 3953 WW KELLEY ROAD
 TALLAHASSEE FL 32311**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

TITLE **PTD** ☐ Delete
 NAME **SMITH, WILLIAM S**
 STREET ADDRESS **1700 LINCOLN STREET, SUITE 3650**
 CITY-ST-ZIP **DENVER CO 80203**

TITLE **S** ☒ Delete
 NAME **SMITH, LUANN E**
 STREET ADDRESS **1700 LINCOLN STREET, SUITE 3650**
 CITY-ST-ZIP **DENVER CO 80203**

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☒ Change ☐ Addition
 NAME **William S Smith**
 STREET ADDRESS **1700 Lincoln Street, Suite 3030**
 CITY-ST-ZIP **Denver, CO 80203**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

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TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(l), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/01)