

F990000003292  
TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: KSH Investment Group, Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Mr. Fran Anderson

(Name of Person)

KSH Investment Group, Inc.

(Firm/Company)

245 Great Neck Road

(Address)

Great Neck, New York 11021

(City/State/Zip)

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 JUN 25 AM 10:41

mt  
6/25

Should you need to call someone concerning this matter, please call:

100002897991-1  
-06/08/99-01042-001  
\*\*\*\*\*70.00 \*\*\*\*\*70.00

Mr. Fran Anderson

(Name of Person)

at ( 516 ) 466-1117

(Area Code & Daytime Telephone Number)

W99-13509

**STREET ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

June 10, 1999

FRAN ANDERSON  
KSH INVESTMENT GROUP, INC.  
245 GREAT NECK ROAD  
GREAT NECK, NY 11021

SUBJECT: KSH INVESTMENT GROUP, INC.  
Ref. Number: W99000013509

We have received your document for KSH INVESTMENT GROUP, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

There is an additional page which requires the officers signature was not enclosed. I have that particular page enclosed for an officer to sign and return.,

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays  
Document Specialist

Letter Number: 699A00031321

99 JUN 25 AM 10:41

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS

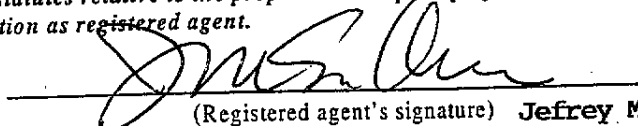
**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. KSH Investment Group, Inc.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware 3. 13-3887551  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 03/05/95 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. Upon approval  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 245 Great Neck Road  
Great Neck, New York 11021  
(Current mailing address)
8. Broker-Dealer branch office  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)
- Name: Jeffrey M. Sultan
- Office Address: 433 Plaza Real, Suite 275  
Boca Raton, Florida, 33432  
(Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature) **Jeffrey M. Sultan**

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

**A. DIRECTORS** (Street address only - P.O. Box **NOT** acceptable)

Chairman: SEE ATTACHED LIST OF OFFICERS AND DIRECTORS

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS** (Street address only - P.O. Box **NOT** acceptable)

President: SEE ATTACHED LIST OF OFFICERS AND DIRECTORS

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Francis Anderson - President  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. FRANCIS ANDERSON  
(Typed or printed name and capacity of person signing application)

99 JUN 25 AM 10:41

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS

**KSH Investment Group, Inc.**  
**List of Officers and Directors**

<b><u>Name:</u></b>	<b><u>Title:</u></b>	<b><u>Address:</u></b>
Harvey Kohn	Sr. VP/ Managing Director	245 Great Neck Road Great Neck, NY 11021
Cary Sucoff	Sr. VP/ Managing Director	245 Great Neck Road Great Neck, NY 11021
John Hatsopoulos	Managing Director	245 Great Neck Road Great Neck, NY 11021
Francis Anderson	President	245 Great Neck Road Great Neck, NY 11021

99 JUN 25 AM 10:41

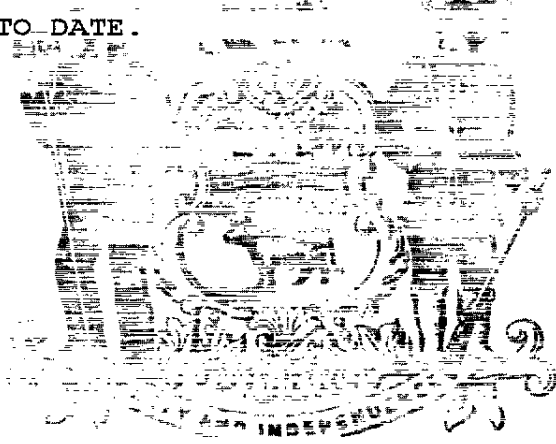
FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS

State of Delaware  
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "KSH INVESTMENT GROUP, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FOURTH DAY OF JUNE, A.D. 1999.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 JUN 25 AM 10:41



*Edward J. Freel*

Edward J. Freel, Secretary of State

2486138 8300

991223633

AUTHENTICATION:

9784924

DATE:

06-04-99