

F990000003112

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: Peregrine Financial Group, Inc.

(Name of corporation - must include suffix)

Dear Sir or Madam:

100002904031--7

-06/14/99--01131--017

\*\*\*\*\*70.00 \*\*\*\*\*70.00

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Rebecca J. Wing, General Counsel

(Name of Person)

Peregrine Financial Group, Inc.

(Firm/Company)

30 South Wacker, Suite 2020

(Address)

Chicago, IL 60606

(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Rebecca J. Wing

(Name of Person)

at ( 312 ) 634-1564

(Area Code & Daytime Telephone Number)

STREET ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

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SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 JUN 14 AM 11:51

mtm  
6/17

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.**

1. Peregrine Financial Group, Inc.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Iowa 3. 42-1349154  
(State or country under the law of which it is incorporated) (FBI number, if applicable)

4. 2/28/90 5. \_\_\_\_\_  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. 6/1/99  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 30 South Wacker Drive, Suite 2020  
Chicago, IL 60606  
(Current mailing address)

8. Branch Office of Commodity Broker  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

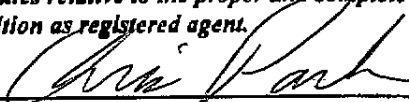
Name: Chris Park

Office Address: 110 South Park Ave.

Winter Park, FL, Florida, 32789  
(Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*



(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

**A. DIRECTORS** (Street address only - P.O. Box **NOT** acceptable)

Chairman: Russell R. Wasendorf, Sr.

Address: 30 South Wacker Drive, Suite 2020

Chicago, IL 60606

Director: Russell R. Wasendorf, Sr.

Address: 30 South Wacker Drive, Suite 2020

Chicago, IL 60606

Director: Connie J. Wasendorf

Address: 30 South Wacker Drive, Suite 2020

Chicago, IL 60606

Director: Russell R. Wasendorf, Jr.

Address: 30 South Wacker Drive, Suite 2020

Chicago, IL 60606

**B. OFFICERS** (Street address only - P.O. Box **NOT** acceptable)

President: Russell R. Wasendorf, Sr.

Address: 30 South Wacker Drive, Suite 2020

Chicago, IL 60606

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Russell R. Wasendorf, Sr., CEO and President

(Typed or printed name and capacity of person signing application)

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No. 00080314  
Date: 05/18/1999

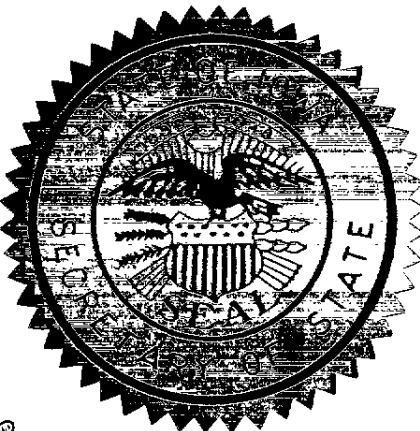
490 DP-0001 **SECRETARY OF STATE**  
PEREGRINE FINANCIAL GROUP INC  
REBECCA J WING  
30 S WACKER DR STE 2020  
CHICAGO, IL 60606

## CERTIFICATE OF EXISTENCE

Name: PEREGRINE FINANCIAL GROUP, INC.  
Begin date: 19900228  
Expiration: PERPETUAL

I, CHESTER J. CULVER, secretary of state of the state of Iowa, custodian of the records of incorporations, certify that the corporation is in existence and was duly incorporated under the laws of Iowa on the date printed above, that all fees required by the Iowa Business Corporation Act have been paid by the corporation, that the most recent biennial corporate report has been filed by the secretary of state, and that articles of dissolution have not been filed.

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CHESTER J. CULVER SECRETARY OF STATE



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