

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Aug 20, 2002 8:00 am**  
**Secretary of State**

08-20-2002 90125 043 \*\*\*150.00

**DOCUMENT # F99000002932**

1. Entity Name  
**INVESTEC ERNST & COMPANY**

Principal Place of Business  
**ONE BATTERY PARK PLAZA  
 COMPLIANCE DEPARTMENT  
 NEW YORK NY 10004**

Mailing Address  
**ONE BATTERY PARK PLAZA  
 COMPLIANCE DEPARTMENT  
 NEW YORK NY 10004**



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number **13-3732556**

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired  **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CORPORATION SERVICE COMPANY  
 1201 HAYS STREET  
 TALLAHASSEE FL 32301-2525**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

**FILE NOW!!! FEE IS \$550.00  
 After September 13, 2002 Fee will be \$750.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.  **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	VCD	<input type="checkbox"/> Delete
NAME	SOLOMON, S. DEREK	
STREET ADDRESS	ONE BATTERY PARK PLAZA	
CITY-ST-ZIP	NEW YORK NY 10004	
TITLE	DCEO	<input checked="" type="checkbox"/> Delete
NAME	BEHRENS, WILLIAM P	
STREET ADDRESS	ONE BATTERY PARK PLAZA	
CITY-ST-ZIP	NEW YORK NY 10004	
TITLE	D	<input checked="" type="checkbox"/> Delete
NAME	BURDETTE, J. WILLIAM	
STREET ADDRESS	525 COLLINS ST., LEVEL 45, RAILTO S. TOW.	
CITY-ST-ZIP	MELBOURNE, AUSTRALIA 3000	
TITLE	D	<input type="checkbox"/> Delete
NAME	CRISTOFANO, DANIEL J	
STREET ADDRESS	ONE BATTERY PARK PLAZA	
CITY-ST-ZIP	NEW YORK NY 10004	
TITLE	D	<input type="checkbox"/> Delete
NAME	HERMAN, HUGH	
STREET ADDRESS	100 GRAYSTON DR., SANDOWN, SANDTON 2196	
CITY-ST-ZIP	SOUTH AFRICA	
TITLE	D	<input type="checkbox"/> Delete
NAME	KANTOR, BERNARD	
STREET ADDRESS	100 GRAYSTON DR., SANDOWN, SANDTON 2196	
CITY-ST-ZIP	SOUTH AFRICA	

TITLE	D	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	Solomon, S. Derek	
STREET ADDRESS	One Battery Park Plaza	
CITY-ST-ZIP	New York NY 10004	
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	"See	
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	attached	
STREET ADDRESS		
CITY-ST-ZIP	rider "	
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

CR2E034 (4/02)

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with any other like empowerers.

**SIGNATURE:**   
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

Attachment  
# F99000002932



Investec Ernst & Company  
One Battery Park Plaza  
New York, NY 10004  
Telephone (212) 898 6200  
Facsimile (212) 898 6255  
www.investecernst.com

August 14, 2002

Division of Corporations  
Uniform Business Report Filings  
P.O. Box 1500  
Tallahassee, FL 32302-1500

Re: Investec Ernst & Company  
2002 Uniform Business Report

To Whom It May Concern:

Enclosed are the following items required to file the 2002 Uniform Business Report for Investec Ernst & Company:

- 2002 Uniform Business Report ("UBR") – Document #F99000002932
- Rider to UBR listing Directors and Officers of Investec Ernst & Company
- Check number 085655 in the amount of \$150.00

Please note that Investec Ernst & Company did not receive any notice of the original UBR filing due date so please let this letter serve as a request for a waiver of the late filing fee.

If you have any questions, or need any further information, please contact Laura Crawford at (212) 898-6488.

Very truly yours,

A handwritten signature in black ink, appearing to read 'David R. Rappaport', written over a horizontal line.

David R. Rappaport  
General Counsel

*Attachment*  
# F99000002932

Rider  
Directors & Officers of Investec Ernst & Company

D

Daniel Cristofano  
One Battery Park Plaza  
New York, NY 10004

D

Hugh Herman  
100 Grayston Dr.  
Sandown, Sandton 2196

D

Bernard Kantor  
100 Grayston Dr.  
Sandown, Sandton 2196

D

Stephen Koseff  
100 Grayston Dr.  
Sandown, Sandton 2196

D

Scott Rosenblum  
One Battery Park Plaza  
New York, NY 10004

D

Mark Segall  
One Battery Park Plaza  
New York, NY 10004

DCEO

John Murabito  
One Battery Park Plaza  
New York, NY 10004

DCFO

Steven J. Paraggio  
One Battery Park Plaza  
New York, NY 10004

D

David Rappaport  
One Battery Park Plaza  
New York, NY 10004